

MEMBER REGULATION



INVESTMENT DEALERS
ASSOCIATION OF CANADA

notice



ASSOCIATION CANADIENNE DES
COURTIERS EN VALEURS MOBILIÈRES

Contact:

MR0376

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ATTENTION:
Ultimate Designated Persons
Chief Financial Officers
Panel Auditors

Distribute internally to:

- Corporate Finance
- Credit
- Institutional
- Internal Audit
- Legal & Compliance
- Operations
- Registration
- Regulatory Accounting
- Research
- Retail
- Senior Management
- Trading desk
- Training

Courses recognized by the Association for Voluntary Participation in the Continuing Education Program

Under IDA Policy 6, Part III, F.1, individuals may extend the validity periods of the Canadian Securities Course and the Conduct and Practices Handbook Exam, for approval purposes, by Voluntary Participation in the IDA's Continuing Education program. Please refer to MR 323 for details.

In Cycle 1 and 2 all courses administered by the CSI which qualified for IDA Continuing Education were deemed acceptable for Voluntary Participation. In Feb 2004, Policy 6, Part III was amended so that courses recognized by the Association were deemed acceptable for Voluntary Participation.

Beginning in Cycle 3 (January 1, 2006), only specific courses will be deemed acceptable for Voluntary Participation by the Association. These are listed on the following page, and are also listed on the Continuing Education section of the IDA's Web site. CSI courses which do not appear on this list but which previously qualified for Voluntary Participation will be accepted for fulfillment of Voluntary Participation in Cycles 1 and 2.

Additional courses may be added to this list from time to time. Members should check the web site for the most up-to-date list.

**Courses recognized by the Association for Voluntary Participation
in the Continuing Education Program**

Course	Satisfies	Administered By
Branch Managers Course	Either Professional Development or Compliance but not both	CSI
CE Compliance Course including CPH for Professionals	Compliance	CSI
Certified Investment Management Analysts Course	Professional Development	Investment Management Consultants Association
CFP examination	Professional Development	Financial Planners Standards Council
Chartered Financial Analyst Program (each level)	Professional Development	CFA Institute
Derivatives Fundamentals Course	Professional Development	CSI
Ethics Module and Case Study	Compliance	CSI
Investment Dealers Securities Compliance and Supervision Training Program	Either Professional Development or Compliance but not both	Justice Institute Of British Columbia
Investment Management Techniques	Professional Development	CSI
IQPF Professional Training Course	Professional Development	Institut quebecois de planification financiere
Partners, Directors and Senior Officers Qualifying Exam	Either Professional Development or Compliance but not both	CSI
Personal Financial Planning Course	Professional Development	Institute of Canadian Bankers
Portfolio Management Techniques	Professional Development	CSI
Professional Financial Planning Course	Professional Development	CSI
Wealth Management Essentials	Professional Development	CSI
Wealth Management Techniques	Professional Development	CSI