

MEMBER REGULATION



INVESTMENT DEALERS
ASSOCIATION OF CANADA

notice



ASSOCIATION CANADIENNE DES
COURTIERS EN VALEURS MOBILIÈRES

Contact:

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MR0307

September 8, 2004

ATTENTION:

Ultimate Designated Persons
Chief Financial Officers
Panel Auditors

Distribute internally to:

- Corporate Finance
- Credit
- Institutional
- Internal Audit
- Legal & Compliance
- Operations
- Registration
- Regulatory Accounting
- Research
- Retail
- Senior Management
- Trading desk
- Training

Custodial Agreements

Attached is a listing of Custodial Agreements in effect as of August 31, 2004 between the Canadian Investor Protection Fund (CIPF), as bare trustee on behalf of Members, and various entities such as mutual fund companies, the Bank of Canada etc.

The listing confirms that these entities meet the conditions of “acceptable securities locations” in respect to the location of securities held in physical or book based form on behalf of Member firms by a third party pursuant to IDA Regulation 2000.1 and in reference to the Definition in Form #1, paragraph (d).