

## MEMBER REGULATION



INVESTMENT DEALERS  
ASSOCIATION OF CANADA

# notice



ASSOCIATION CANADIENNE DES  
COURTIERS EN VALEURS MOBILIÈRES

*Contact:*

L. Piergeti: (416) 865-3026 - [lpiergeti@ida.ca](mailto:lpiergeti@ida.ca)

**MR0301**

*August 4, 2004*

**ATTENTION:**

Ultimate Designated Persons  
Chief Financial Officers  
Panel Auditors

**Distribute internally to:**

- Corporate Finance
- Credit
- Institutional
- Internal Audit
- Legal & Compliance
- Operations
- Registration
- Regulatory Accounting
- Research
- Retail
- Senior Management
- Trading desk
- Training

### **Custodial Agreements**

Attached is a listing of Custodial Agreements in effect as of July 31, 2004 between the Canadian Investor Protection Fund (CIPF), as bare trustee on behalf of Members, and various entities such as mutual fund companies, the Bank of Canada etc.

The listing confirms that these entities meet the conditions of “acceptable securities locations” in respect to the location of securities held in physical or book based form on behalf of Member firms by a third party pursuant to IDA Regulation 2000.1 and in reference to the Definition in Form #1, paragraph (d).