

MEMBER REGULATION



INVESTMENT
DEALERS
ASSOCIATION
OF CANADA



ASSOCIATION
CANADIENNE DES
COURTIERS EN
VALEURS MOBILIÈRES

notice

Contact:

J. Nagel: (604) 331-4774 – jnagel@ida.ca

MR0176

November 15, 2002

ATTENTION:
Ultimate Designated Persons
Chief Financial Officers
Panel Auditors

Distribute internally to:

- Corporate Finance
- Credit
- Institutional
- Internal Audit
- Legal & Compliance
- Operations
- Registration
- Regulatory Accounting
- Research
- Retail
- Senior Management
- Trading desk
- Training

IDA Pacific District Fee Schedule Effective November 15, 2002

NEW REGISTRATION

- ◆ **Resident**
 - November 2002 (expiry date December 31, 2002) 45.00
 - December 2002 (expiry date December 31, 2003) 75.00
 - ◆ **Non-resident**
 - November 2002 (expiry date December 31, 2002) 25.00
 - December 2002 (expiry date December 31, 2003) 75.00
- ***If a Corporate Amendment (Form 31-905F) is required due to branch manager, officer, director, partner category requested, please add \$100 to the fee above.*****

YUKON REGISTRATION

- ◆ Yukon Registration (not currently registered in BC) 20.00
 - ◆ Transfer 10.00
 - ◆ Change of Status 10.00
- ***Yukon registration/transfer/change of status is only for the residents of the Yukon.*****

CHANGE OF STATUS

- ◆ **Non-Trading**
 - No corporate amendment 10.00
 - With a corporate amendment 110.00
- ◆ **Trading**
 - Category Change 110.00
 - Adding options only 10.00

TRANSFERS

- November 2002 (expiry date December 31, 2002) 35.00
 - December 2002 (expiry date December 31, 2003) 85.00
- ***If a Corporate Amendment (Form 31-905F) is required due to branch manager, officer, director, partner category requested, please add \$100 to the fee above.*****

TORONTO Suite 1600, 121 King Street West, Toronto, Ontario M5H 3T9 Telephone: (416) 364-6133 Fax: (416) 364-0753
CALGARY Suite 2300, 355 Fourth Avenue S.W., Calgary, Alberta T2P 0J1 Telephone: (403) 262-6393 Fax: (403) 265-4603
HALIFAX Suite 1620, TD Centre, 1791 Barrington Street, Halifax, Nova Scotia B3J 3K9 Telephone: (902) 423-8800 Fax: (902) 423-0629
MONTRÉAL Suite 2802, 1 Place Ville Marie, Montréal, Québec, H3B 4R4 Téléphone: (514) 878-2854 Télécopieur: (514) 878-3860
VANCOUVER Suite 1325, P.O. Box 11614, 650 West Georgia Street, Vancouver, B.C. V6B 4N9 Telephone: (604) 683-6222 Fax: (604) 683-3491

TERMINATION

Within 10 business days	0
Late – per day fee	100.00/day
Termination of an Officer, Branch Manager, Director (corporate amendment)	100.00

RENEWALS – Individuals

November 2002	25.00
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RENEWALS – Investment Dealers

November 2002	210.00
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RENEWALS – Underwriters

November 2002	45.00
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RENEWALS – Branches (fee is per branch)

50.00

Branch Opening/Closing

100.00

Copy of File

20.00