

MEMBER REGULATION



INVESTMENT
DEALERS
ASSOCIATION
OF CANADA



ASSOCIATION
CANADIENNE DES
COURTIERS EN
VALEURS MOBILIÈRES

notice

Contact:

L. Gingras (514) 878-2854 – lgingras@ida.ca

MR0141

May 22, 2002

ATTENTION:
Ultimate Designated Persons
Chief Financial Officers
Panel Auditors

Distribute internally to:

- Corporate Finance
- Credit
- Institutional
- Internal Audit
- Legal & Compliance
- Operations
- Registration
- Regulatory Accounting
- Research
- Retail
- Senior Management
- Trading desk
- Training

Non-Resident applications filed with IDA Quebec office

Effective immediately, applications for non-resident individuals will no longer require approval by the Quebec District of the IDA. Therefore, Member firms are no longer required to submit an application to the Quebec office of the IDA for an individual who does not reside in the province of Quebec. Pending applications will be returned and refunded where applicable.

However, Member firms are still required to file registration applications for non-resident individuals with either the Quebec Securities Commission (“QSC”) or with the Bourse de Montréal Inc. for approval on behalf of the QSC.

If you have any questions, do not hesitate to contact the Senior Registration Officer in charge of your firm.

TORONTO Suite 1600, 121 King Street West, Toronto, Ontario M5H 3T9 Telephone: (416) 364-6133 Fax: (416) 364-0753
CALGARY Suite 2300, 355 Fourth Avenue S.W., Calgary, Alberta T2P 0J1 Telephone: (403) 262-6393 Fax: (403) 265-4603
HALIFAX Suite 1620, TD Centre, 1791 Barrington Street, Halifax, Nova Scotia B3J 3K9 Telephone: (902) 423-8800 Fax: (902) 423-0629
MONTRÉAL Suite 2802, 1 Place Ville Marie, Montréal, Québec, H3B 4R4 Téléphone: (514) 878-2854 Télécopieur: (514) 878-3860
VANCOUVER Suite 1325, P.O. Box 11614, 650 West Georgia Street, Vancouver, B.C. V6B 4N9 Telephone: (604) 683-6222 Fax: (604) 683-3491