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Contact:  
Adrian Carter  
Director, Educational Services  
416-943-6247  
acarter@ida.ca

For distribution to relevant parties within your firm

**BULLETIN #3719**  
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## **Educational Webcast for Branch Managers, Supervisors & Advisors**

### **Shedding Light on Conflicts of Interest and Other Business Activities**

In whatever capacity our industry might be engaged by its clients - trade execution, advisory services, financial guidance, sourcing capital, etc. – potential conflicts of interest abound. Therefore, its long term success depends on its ability to detect and manage those conflicts effectively. And, in today's multi-faceted financial services environment, over and above simply achieving those ends we must be seen to be going about things the right way. It's just good business.

To help registrants succeed in these essential efforts, the IDA's Member Education Services team will be offering an educational Webcast in its Masters Series of events entitled, "**Shedding Light on Conflicts of Interest and Other Business Activities**" on **May 13 at 4 pm EDT in English and on May 21 at 4 pm EDT in French.**

Our featured speakers for this webcast will be Jeremy Devereux, Partner, Ogilvy Renault and Jeff Kehoe, Director of Litigation, IDA. (French Speakers to be announced). Please join us as we cover the following topics:

- What is a conflict of interest in the securities industry and what are rules surrounding them?
- Common Situations involving Conflicts of Interest and Other Business Activities
- Potential Consequences
- Preventative Actions

For those experiencing a scheduling conflict, a replay of the web cast will be made available. The web cast will be approximately 60 minutes in length and participants will be eligible for one hour of Continuing Education credit (CE credit) which can be applied against fulfilling their compliance requirement.

To register for this webcast please visit our website at [www.ida.ca](http://www.ida.ca) and choose Media, Events and Speeches, then Events. All upcoming IDA sponsored events are listed here with links to registration. To keep informed of upcoming Member Services events, simply subscribe to the IDA's e-publications service at [www.ida.ca](http://www.ida.ca) and select the following categories: "Advisor

Educational Web Casts” and “Events – Educational Events and Seminars.” E-publications is a free, self-managed system, whereby subscribers can change their selection criteria whenever and as many times as they want, making it a quick and easy way to receive information.

Kenneth A. Nason  
*Association Secretary*