



bulletin



Contact:
Wendyanne D'Silva
Director, Registration
(416) 865-3032
wdsilva@ida.ca

For distribution to relevant parties within your firm

BULLETIN #3677
October 3, 2007

Newfoundland and Labrador

The Investment Dealers Association of Canada (IDA) is pleased to announce that the Superintendent of Securities of Newfoundland and Labrador has delegated, effective October 1, 2007, the registration and renewals of Approved Persons and IDA Member firms to the IDA under the authority of Section 25.5(1) of the Securities Act.

The review of all submissions will be performed by IDA Staff in Toronto and upon completion of review; IDA staff will provide Members with a notice evidencing Registration under the Newfoundland and Labrador Securities Act and/or approval under the IDA By-laws and Regulations.

Applications and Notices for Individuals will continue to be filed via NRD.

Applications for registration of a member firm in Newfoundland and Labrador should be sent to the IDA's Toronto Office, to the attention of the Manager, Registration.

Kenneth A. Nason
Association Secretary