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For distribution to relevant parties within your firm
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Educational Web Cast for Branch Managers & Advisors

Principal Protected Notes

In January 2007, we issued a survey to our Member firms on the use and distribution of Principal Protected Notes (PPN). The PPN marketplace has rapidly expanded with over 600 issues existing and a marketplace of over \$13 billion. IDA member firms distribute the majority of PPN's in the marketplace.

To assist firms with the task of product due diligence and the training of advisors, and sales practices, we have structured a two part webcast.

The web casts will cover product structures, risk features, required disclosures and know your client (KYC) obligations.

Member Regulation has commissioned a subject matter expert, André Fok Kam to develop a detailed guide on PPNs which will be released with this two part web cast.

PPN Web Cast Part I web cast will take place on April 10, 2007 at 4pm EDT in English and April 11, 2007 at 4pm EDT in French. PPN Web Cast Part II will take place on May 8, 2007 at 4pm EDT in English and May 9, 2007 at 4pm EDT in French. Each part of the web cast will be 45 minutes in length and participants will be eligible for one hour of Continuing Education credit (CE Credit) which can be applied against fulfilling their professional development or compliance requirement.

Any participants who register for part 1 will automatically be registered in part 2.

Additional information about the program as well as the Registration forms are available at http://www.ida.ca/Media/Events_en.asp

Kenneth A. Nason
Association Secretary