

Contact:
Paul Bourque
Senior Vice-President, Member Regulation
(416) 865-3038

For distribution to relevant parties within your firm

BULLETIN #3201
October 23, 2003

Public Affairs

New Member Firm/Registrant Information Service

As part of its continuing efforts to improve resources for investors, the Investment Dealers Association of Canada has recently developed a new service. The Member Firm/Registrant Information Service provides investors with easier access to the public information already available on IDA Member firms and their registered employees.

Investors may submit requests for information on the current registration status of individuals and disciplinary history of firms and individuals prior to 1997. They can also perform online searches of the current membership status of firms and any post-1997 disciplinary history of firms and individuals. Although the information provided has always been available to the public, the new service offers easier and quicker one-stop access for investors.

The new Information Service complements the many resources already available in the Investor's Corner section of the IDA website, including Resolving a Complaint, which covers the IDA's arbitration and ombudservice programs.

Please visit the IDA's website at www.ida.ca to see the new Information Service for yourself. Follow the links from Investor's Corner to Member Firm/Information Service to learn more about this simple, but effective, new tool.

Kenneth A. Nason
Association Secretary