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For distribution to relevant parties within your firm

BULLETIN # 2843
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Notice of Seminar

Dealing with RRSP Accounts in the United States and other Cross-Border Retail Issues

The Investment Dealers Association of Canada, in conjunction with its US counsel Dorsey & Whitney LLP, invites interested professionals of IDA member firms to an educational seminar on US federal and state regulations governing broker and member firm access to the brokerage accounts of Canadians who have temporarily or permanently relocated to the United States, including self-directed RRSP accounts.

The seminar will be of particular interest to legal and compliance professionals, as well as to the supervisors of the sales operations of member firms. US counsel will review SEC treatment of the aforementioned accounts and the status of relevant state regulations on a state-by-state basis. Tax matters and suitability issues for particular investment products, and risk management issues, will also be discussed. IDA staff will also be on hand to describe the Association's ongoing efforts to reduce regulatory barriers to access US capital markets and efforts to harmonize Canadian regulations and US regulations to ensure Canadian dealers and markets are competitive. Attendees will have the opportunity to participate in a question and answer session.

The seminar is scheduled as a breakfast session at 8:00 a.m. on Thursday, May 24, 2001 and will be held in the main dining room of the National Club, 303 Bay Street.

Would you please RSVP Eileen Brady in the Capital Markets Department at the Association (416) 943-6947, or e-mail ebrady@ida.ca.

Suzanne M. Barrett
Association Secretary