

IIROC NOTICE

Member Notice New Member

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20-0109
May 28, 2020

Roth Canada, ULC

Roth Canada, ULC is admitted to membership in the Investment Industry Regulatory Organization of Canada, effective May 28, 2020.

The head office is located at 67 Strath Avenue, Toronto, ON M8X 1R4.
Telephone: 647-983-4955

The executives are:

- Theodore David Roth - *CEO, UDP, Supervisor and Registered Representative*
- Kristin LaMarche - *CCO, Executive (Non-Trading), Supervisor*
Darren Snelgrove - *CFO, Executive (Non-Trading)*