

# IIROC NOTICE

## Education Notice

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## IIROC to Host Virtual Conferences this Fall

As IIROC continues to focus on the safety and well-being of our colleagues, investors and all market participants, we have decided not to host any of the in-person conferences that normally take place in the fall.

Instead, we are pleased to announce that we will be hosting several shorter virtual conferences to discuss important topics such as our regulatory priorities, enforcement and lessons learned from COVID-19. As well, senior staff from our Business Conduct Compliance, Trading Conduct Compliance and Financial and Operations Compliance teams will participate in panel discussions with industry representatives. The conferences will also feature other speakers and stakeholders from the broader financial services industry and investor community.

These virtual conferences will allow industry participants from across the country to participate together in pan-Canadian sessions for the first time in IIROC's history.



IIROC's virtual conferences will be free of charge and Compliance Continuing Education (CE) credits will be available.

We look forward to sharing further information in the coming weeks and opening up registration.

These annual conferences complement our overall Member Education Program, which also features ongoing development of new webcasts and other initiatives such as our popular Tips for Traders. We recognize that there are benefits to also hosting in person events so we will revisit the opportunity to offer a combination of in person and virtual events in 2021.

Thank you for your ongoing support and participation in IIROC's educational programs.