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19-0024

February 13, 2019

New Webcast: Vulnerable Investors

The Oxford Dictionary defines a “vulnerable person” to be “a person in need of special care, support, or protection because of age, disability or risk of abuse or neglect”. This webcast covers a variety of issues related to serving investors who may be vulnerable or become vulnerable, and what advisors can do to protect and assist vulnerable investors.

Our presenters are Richard Corner, Vice President and Chief Policy Advisor at IIROC; Arthur Fish, Partner at Borden Ladner Gervais LLP and Laura Tamblyn Watts, Chief Public Policy Officer at CARP.

Your completion of this webcast will earn you **1.0 hours of IIROC Continuing Education Compliance Credits.**



This webcast will be available for viewing commencing on February 14 at 7:00 am Eastern time and on a 24/7 basis after that date.

Please note that there is no accompanying quiz for this webcast. Upon completion of the webcast, participants will be issued an electronic and personalized Continuing Education credit (1.0 CE credit) certificate, which can be applied to their **Compliance Requirements**.

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