

# IIROC NOTICE

## **Rules Notice Technical Dealer Member Rules**

*Please distribute internally to:*

Institutional  
Internal Audit  
Legal and Compliance  
Operations

*Contact:*

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## **List of Acceptable Trade Matching Utilities for the purposes of IIROC Dealer Member Rule 800.49 relating to Broker to Broker Trade Matching**

Pursuant to IIROC Dealer Member Rule 800.49, all non-exchange trades involving CDS eligible securities executed between two IIROC Dealer Members are to be reported to an Acceptable Trade Matching Utility. Attached is an updated List of Acceptable Trade Matching Utilities, reflecting the addition of Instinet Canada Cross, Liquidnet Canada and Sigma X Canada, and the removal of marketplaces that are no longer in operation.

This list supersedes the list included in IIROC Rules Notice 08-0182.

## **List of Acceptable Trade Matching Utilities**

[for the purposes of IIROC Dealer Member Rule 800.49 relating to Broker to Broker Trade Matching]

1. **Alpha ATS L.P.**, an electronic marketplace for the execution of transactions in Canadian equity securities.
2. **Bloomberg Tradebook**, a routing and matching service for the execution of transactions in equities, futures, options and foreign exchange operated by Bloomberg Tradebook Canada Company.
3. **CBID**, an electronic marketplace for the execution of transactions in fixed income products operated by Perimeter Market Inc.
4. **CDS Trade Matching Service**, a matching service operated by The Canadian Depository for Securities Limited.
5. **Chi-X Canada**, an electronic marketplace for the execution of transactions in listed Canadian equity securities operated by Chi-X Canada ATS Limited.
6. **Instinet Canada Cross**, an electronic marketplace for the execution of transactions in listed Canadian equity securities operated by Instinet Canada Cross Limited.
7. **Liquidnet Canada**, an electronic marketplace for the execution of transactions in securities listed on the Toronto Stock Exchange and on the TSX Venture Exchange, operated by Liquidnet Canada Inc.
8. **Omega ATS™**, an electronic marketplace for the execution of transactions in listed Canadian equity securities operated by Perimeter Markets Inc.
9. **Revolution Trading System (RevT)**, an electronic marketplace for the execution of transactions in fixed income products operated by CanDeal.ca Inc.
10. **Sigma X Canada**, an electronic marketplace for the execution of transactions in listed Canadian equity securities operated by Goldman Sachs Canada Inc.
11. **TriAct Canada Marketplace LP**, an electronic marketplace for the execution of transactions in Toronto Stock Exchange listed securities.