

IIROC NOTICE

Rules Notice Notice of Withdrawal

Dealer Member Rules

Please distribute internally to:

Corporate Finance
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Internal Audit
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Operations
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Senior Management
Training

Contact:

Answerd Ramcharan
Specialist, Member Regulation Policy
416 943-5850
aramcharan@iroc.ca

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Withdrawal of Amendments to Form 1 to adopt CAS for the audits of regulatory financial statements

On December 29, 2010 IIROC published an implementation Notice on the housekeeping amendments to Form 1 to adopt Canadian Auditing Standards (CAS) for the audits of regulatory financial statements. The housekeeping amendments were to the auditors' reports of Form 1 and were effective for periods ending on or after December 14, 2010. IIROC has withdrawn that implementation Notice and will resubmit it at a later date.