

IIROC NOTICE

Rules Notice Notice of Approval

Dealer Member Rules

Please distribute internally to:
Legal and Compliance

Contact:

Warren Funt

Vice President, Western Canada

604-331-4750

wfunt@iiroc.ca

09-0275

September 23, 2009

Change of Arbitration Administrator in Western Canada

Dealer Member Rule 37 requires firms to participate in an arbitration program to resolve disputes at the request of a client. IIROC currently utilizes ADR Chambers Inc. to administer the program in Ontario, Newfoundland and Labrador, Nova Scotia, Prince Edward Island, and New Brunswick.

Previously, the British Columbia International Commercial Arbitration Centre was the administrator for the program in the West. Effective immediately, ADR Chambers Inc. will assume administration of the program in Manitoba, Saskatchewan, Alberta, British Columbia, the Northwest and Yukon Territories, and Nunavut.

As of the date of this Notice, ADR Chambers Inc. is the approved administrator of the IIROC arbitration program in all provinces and territories, except Quebec.