

Rule 3300

Linkage (Cross-References)

>Notices (2)

[Notice No. 11-0257 — Rules Notice – Guidance Note — Guidance on fair pricing of over-the-counter securities \(September 1, 2011\)](#)

[Notice No. 11-0256 — Rules Notice – Notice of Approval / Implementation — Over-the-counter securities fair pricing rule and confirmation disclosure requirements \(September 1, 2011\)](#)