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For distribution to relevant parties within your firm
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Educational Web Cast for Branch Managers & Advisors

Divorce and Client Account Related Matters

The divorce and separation of clients can present trying circumstances for all parties involved including the branch and the advisor. Handling account matters between separating and divorcing clients raises a number of issues which require clear direction and policies in order to safeguard each party involved.

The next Member Services web cast on divorce/account matters has been designed to assist firms with the task of due diligence, ensuring adherence to privacy rules, and best practice considerations. The web cast will cover advisor responsibilities and legal obligations, the access and division of the account (both Joint and Single), and disclosure and documentation issues.

Arthur Fish will be joining us as a subject matter expert on Client Account Related Matters surrounding Divorce. Arthur Fish is a Partner and Director with Borden Ladner Gervais law firm in Toronto, with extensive experience in supporting brokerage firms in compliance related matters. Arthur's colleague Virginia Chan a Partner with BLG in Montreal will support the French web cast.

Divorce and Client Account Related Matters web cast will take place on June 12th, 2007 at 4pm EDT in English and June 13th, 2007 at 4pm EDT in French. For those experiencing a scheduling conflict a replay of the web cast will be made available. The web cast will be 45 minutes in length and participants will be eligible for one hour of Continuing Education credit (CE Credit) which can be applied against fulfilling their compliance requirement.

To keep informed of upcoming Member Services events, simply subscribe to the IDA's e-publications service at www.ida.ca and select the following categories: "Adviser Educational Web Casts" and "Events – Educational Events and Seminars." E-publications is a free, self-managed system, whereby subscribers can change their selection criteria whenever and as many times as they want, making it a quick and easy way to receive information.

Additional information about the program as well as the Registration forms are available at http://www.ida.ca/Media/Events_en.asp

Kenneth A. Nason
Association Secretary