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For distribution to relevant parties within your firm

BULLETIN #3582
November 9, 2006

Breakfast Symposium for IDA Member Firms

Online Security & Insurance Coverage for Online Fraud

The IDA's Enforcement Department has received information from a number of sources that unauthorized persons have gained access to clients' on-line trading accounts. There appear to be a number of techniques used to gain client access information but there is no suggestion that the security of Member firms' on-line systems have been compromised.

To assist Member firms to address these issues the IDA is hosting a breakfast symposium at the Design Exchange Trading Floor 234 Bay Street Toronto Dominion Centre on November 21, 2006. It will begin at 8am with a light breakfast and feature speakers from both the U.S. and Canadian securities, technology and insurance industries. Confirmed speakers include, Ms. Irene Gutierrez, Senior Counsel Securities & Exchange Commission, Peter McCaulay, Head Corporate Securities, Office of the Corporate Chief Information Officer, Government of Ontario, Alex Popovic, Vice President Enforcement, IDA and Maysar Al-Samadi Vice President Professional Standards. We will review the current market conditions and share helpful strategies to protect both clients and firms. After the breakfast our speakers will be available for a Q & A session and an optional workshop with insurance coverage providers to learn more about computer crime insurance coverage.

Additional information about this event as well as the registration form is available at http://www.ida.ca/media/events_en.asp.

Kenneth A. Nason
Association Secretary