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Education Notice

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New Webcast: Tips for Traders Montreal (Recorded on November 29, 2018)

Keeping abreast of developments in today's marketplace is a challenge. New developments in products, trading technologies and the increasing globalization of our industry make for a landscape that is constantly changing. To help you understand the compliance issues associated with this environment, the Member Education Services Department of the Investment Industry Regulatory Organization of Canada (IIROC) is pleased to announce the release of a Webcast of the Tips for Traders session held on November 29, 2018 in Montreal.

Alex Taylor, Vice-President, Market Surveillance, Sonali GuptaBhaya, Director, Market Regulation Policy and Michael Librizzi, Manager, Business & Trading Conduct Compliance will highlight the following topics:

- Client Identifier Proposal
- Debt Transaction Reporting Proposal
- Internalization Review Update
- Digital Asset Working Group
- Consolidated Short Position Reports



- ETR Review
- TTC Examinations
- What we're seeing in Surveillance
- Surveillance System Enhancements

This webcast, which is available in English only, will be available for viewing on April 30, 2019 at 7:00 am Eastern time and on a 24/7 basis after that date.

Your participation in this webcast will earn you **1.5 hours of IIROC Continuing Education Compliance Credits.**

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