

IIROC NOTICE

Education Notice

Please distribute internally to:

Corporate Finance
Credit
Institutional
Internal Audit
Legal and Compliance
Operations
Registration
Regulatory Accounting
Research
Retail
Senior Management
Trading Desk
Training

Contact:

Adrian Carter

Director, Educational Services

416 943-6247

acarter@iiroc.ca

19-0058

April 1, 2019

New Webcast: “The Evolution of Advice- Challenges and Opportunities” Panel Discussion from the 2018 IIROC Annual Conference”

This webcast builds on the keynote presentation, “The Evolution of Advice”, delivered at the IIROC Annual Conference. A copy of the report entitled “Enabling the Evolution of Advice in Canada” is available as a downloadable attachment with the webcast. Panelists discuss opportunities for the industry to better serve Canadians stemming from findings of the Evolution of Advice research. Panelists are: Kendra Thompson, Managing Director – Capital Markets, Wealth Management Lead, North America, Accenture; Ana Badour, Partner, Financial Services Group, McCarthy Tétrault; Marsha Gerhart, VP, Member Regulation Policy, IIROC; and Kathy Engle, VP, Strategic Initiatives, IIROC,.

Your completion of this webcast will earn you **1.0 hours of IIROC Continuing Education Compliance Credits**. This webcast will be available for viewing commencing on April 1 at 7:00 am Eastern time and on a 24/7 basis after that date.



Please note that there is no accompanying quiz for this webcast. Upon completion of the webcast, participants will be issued an electronic and personalized Continuing Education credit (1.0 CE credit) certificate, which can be applied to their **Compliance Requirements**.

[Click here to register](#)

To register for this webcast, please click on the link above. To access a full list of available CE accredited webcasts, please click [here](#).

To access all upcoming Member Education Services Events, simply subscribe to IIROC's ePublications service at www.iroc.ca and select the following categories: "Education Notices" and "Member Events." ePublications is a free, self-managed system, whereby subscribers can change their selection criteria whenever and as many times as they want, making it a quick and easy way to receive information.