

IIROC NOTICE

Membership Development Notice Change of Control

Please distribute internally to:

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19-0011
January 25, 2019

Kingsdale Capital Markets Inc.

The Ontario District Council of the Investment Industry Regulatory Organization of Canada has approved a change in control of Kingsdale Capital Markets Inc. (Kingsdale), whereby Reginald Strasler, Mandrake Properties Inc., Harold Peerenboom, RDJR Investments Inc. and Romeo DiBattista become significant equity interest holders in Kingsdale.

The effective date of the transaction is January 24, 2019.