

# IIROC NOTICE

## Administrative Notice General

*Please distribute internally to:*  
Internal Audit  
Regulatory Accounting  
Senior Management

*Contact:*

Louis P. Piergeti  
Vice-President, Financial & Operations Compliance  
416 865-3026  
[lpiergeti@iiroc.ca](mailto:lpiergeti@iiroc.ca)

**18-0240**  
**December 18, 2018**

## Regulatory Filing Deadlines

### Monthly Financial Report (MFR)

The Monthly Financial Report (MFR) filing deadlines for 2019 - based on a 20 business day reporting cycle and taking into consideration Canadian and Provincial statutory holidays – are as follows:

<u>Month-End Date</u>	<u>Filing Deadline</u>
January 31, 2019	March 1, 2019
February 28, 2019	March 28, 2019
March 31, 2019	April 29, 2019
April 30, 2019	May 29, 2019
May 31, 2019	July 2, 2019
June 30, 2019	July 29, 2019
July 31, 2019	August 29, 2019
August 31, 2019	September 30, 2019
September 30, 2019	October 29, 2019
October 31, 2019	November 29, 2019
November 30, 2019	January 2, 2020
December 31, 2019	January 29, 2020



### *Late filing fee*

Please be advised that delays in the SIRFF electronic filing will trigger a late filing fee of \$100 per calendar day by our accounting department. The electronic date time stamp of the MFR submission on SIRFF by Dealer Members will be the basis for assessing the late filing fee.