

IIROC NOTICE

Education Notice

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Contact:

Dianne Weatherby
Corporate Events Specialist, Public Affairs
416 646 7202
dweatherby@iroc.ca

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UMIR 7.1 Amendments Workshops - Now available as a Webcast

The amendments to UMIR 7.1 will come into effect on March 27, 2018.

To help you understand the compliance issues associated with these changes, the Investment Industry Regulatory Organization of Canada (IIROC) is pleased to invite you to view a recording of the webinar delivered on January 26, 2018.

IIROC's Lise St-Onge, Manager of Trading Conduct Compliance, Ryan Blachford, Manager of Trading Conduct Compliance and Sonali GuptaBhaya, Director, Market Regulation Policy:

- review the new requirements
- discuss potential ways to comply with the new rules
- provide further insight into TCC's approach and expectations when reviewing for compliance with the new requirements.



This webcast will be available for viewing commencing on Feb 12, 2018 at 7:00 am Eastern time and on a 24/7 basis after that date. (Please note this webcast is available in English only.)

Please note that there is no accompanying quiz for this webcast. Upon completion of the webcast, participants will be issued an electronic and personalized Continuing Education credit (1.0 CE credit) certificate which can be applied to their **Compliance Requirements**.

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