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Contact:

Adrian Carter
Director, Educational Services
416 943-6247
acarter@iiroc.ca

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New Webcast: Highlights of IIROC's 2017 Annual Enforcement Report

This webcast provides an overview of our efforts to protect investors through fair, effective, and timely enforcement of our rules. Enforcement's principle strategy to achieve this goal is to pursue credible enforcement action in a timely, responsive, and robust manner using a variety of tools and remedies that will deter wrongdoing and protect investors. This webcast will touch on our enforcement priorities and review a few key cases. Specifically we will cover our actions to:

- Strengthening our powers to collect fines and evidence through legislative amendments at the provincial level
- develop alternative forms of disciplinary action that address wrongdoing in a fair and proportionate manner, and
- strengthen the process of compliance referrals to enforcement.

Our presenters are Charles Corlett, Director of Enforcement Litigation, IIROC and Elissa Sinha, Senior Enforcement Counsel moderated by Andrea Zviedris, Manager, Media and Public Affairs, IIROC.



Your completion of this webcast will earn you **.5 hours of IIROC Continuing Education Compliance Credits.**

This webcast will be available for viewing commencing on July 30 2018 at 7:00 am Eastern time and on a 24/7 basis after that date.

Please note that there is no accompanying quiz for this webcast. Upon completion of the webcast, participants will be issued an electronic and personalized Continuing Education credit (.5 CE credit) certificate which can be applied to their **Compliance Requirements.**

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