

# IIROC NOTICE

## **Membership Development Notice Change of Ownership**

*Please distribute internally to:*

Credit  
Legal and Compliance  
Senior Management  
Trading Desk

*Contact:*

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**17-0094**  
**April 27, 2017**

## **Foster & Associates Financial Services Inc.**

The Ontario District Council of the Investment Industry Regulatory Organization of Canada has approved the indirect change in ownership of Foster & Associates Financial Services Inc. (Fosters) whereby Christopher Foster, Morley Foster and Eleanor Rogers become significant equity interest holders in Fosters.

The effective date of the transaction is April 18, 2017.