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Tips for Traders Montreal – November 30, 2017

Keeping up on developments in today's marketplace is a challenge. New developments in products, trading technologies and the increasing globalization of our industry make for a landscape that is constantly changing. To help you understand the compliance issues associated with this environment, the Investment Industry Regulatory Organization of Canada (IIROC) is pleased to invite you to attend "Tips for Traders Montreal", which will be held on Thursday, November 30, 2017, from 4:30-6:00 pm, at the Club Saint-James, Salle St-Denis, 2nd Floor, 1145, avenue union. The majority of the event will be presented in English.

IIROC's Claudyne Bienvenu, Vice President, Québec and Atlantic will be making opening remarks. Alex Taylor, Vice-President, Market Surveillance, Sonali GuptaBhaya, Director, Market Regulation Policy and Michael Librizzi, Manager, Business & Trading Conduct Compliance will highlight the following topics:

- Electronic Trading Rule
- Single Stock Circuit Breakers
- Debt Surveillance
- Best Execution
- Client Identifier Proposal
- Emerging Trends in Surveillance



Your attendance will earn you **1.5 hours of IIROC Continuing Education Compliance Credits.**

For more information and to register for the Tips for Traders event click [here](#).

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