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List of Recognized Exchanges and Associations for the Purposes of Determining Entities that Qualify as Regulated Entities

Attached is a list of exchanges and associations that qualify as “recognized exchanges and associations” under the definition of “regulated entities” set out in the General Notes and Definitions to IIROC’s Form 1.

Note 5 of the list has been updated by replacing “full scope BIPRU investment firm” with “full scope IFPRU investment firm. The previous term “full scope BIPRU investment firm” no longer exists and this update aligns the list with category changes made by the United Kingdom Financial Conduct Authority (FCA) as a result of Capital Requirements Directive IV (CRD IV).

[This list](#) replaces the previous list, which was issued as part of IIROC Notice 13-0245 on September 27, 2013, and is effective immediately.