

NEWS RELEASE

For immediate release

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IIROC investor video provides seniors with useful tips

What will you do when your investment advisor retires?

June 15, 2017 (Toronto, Ontario) – In conjunction with Seniors’ Month, the Investment Industry Regulatory Organization of Canada (IIROC) is releasing educational videos for senior investors and advisors to help them both manage an advisor’s retirement and the associated issues that may arise.

The [investor video](#) features John Scott, an investment industry professional with 25 years of experience, who provides insights and practical tips on what senior investors should do when their investment advisor retires.

Scott also provides advice on finding a new advisor and important questions seniors should ask when choosing a new investment advisor.

“It’s important that investors are supported through the transition to a new advisor and are comfortable asking questions when looking for a new provider of investment advice,” said Lucy Becker, Vice President, Public Affairs and Member Education Services. “We encourage advisors to share this video with their senior clients, and also tune in to our advisor webcast for information that will help them prepare for and manage their own retirement.”

This week IIROC also released a [webcast](#) for IIROC-regulated firms and their investment advisors that focuses on senior advisors and the issues they face in the latter stages of their careers, such as managing the impact of their retirement on clients. The webcast features Scott and Barry LaValley, President of the Retirement Lifestyle Centre and a recognized retirement expert.

The investor video joins other resources IIROC has developed to help protect senior investors.

Seniors can check out an investor bulletin, [Are you financially prepared for what’s ahead?](#), which encourages seniors to research and ask questions.

In addition, a list of helpful resources provided by other regulators, government agencies and not-for-profit organizations is available through a [designated section for seniors](#) on IIROC's website.

About IIROC

IIROC is the national self-regulatory organization which oversees all investment dealers and their trading activity in Canada's debt and equity markets. IIROC sets high quality regulatory and investment industry standards, protects investors and strengthens market integrity while supporting healthy Canadian capital markets. IIROC carries out its regulatory responsibilities through setting and enforcing rules regarding the proficiency, business and financial conduct of dealer firms and their registered employees and through setting and enforcing market integrity rules regarding trading activity on Canadian debt and equity marketplaces.

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