

IIROC NOTICE

Administrative Notice

General

Please distribute internally to:
Senior Management

Contact:

Douglas Harris

General Counsel and Corporate Secretary

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17-0169

August 11, 2017

Notice of Annual Meeting of Members

Notice is hereby given that the Annual Meeting of the Members of the Investment Industry Regulatory Organization of Canada / Organisme canadien de réglementation du commerce des valeurs mobilières (the “**Corporation**”) will be held at the Toronto Board of Trade, 77 Adelaide Street West, 4th Floor, Room ABCD, Toronto at 4:30 p.m. on the 12th day of September, 2017, for the following purposes:

1. to receive and consider the financial statements of the Corporation for the financial year ended March 31, 2017 and the independent auditor’s report thereon;
2. to elect directors of the Corporation;
3. to appoint a public accountant to conduct an audit engagement and to authorize the directors to fix its remuneration; and
4. to transact such further and other business as may properly come before the meeting or any adjournment thereof.



Members of the Corporation are comprised of Dealer Members and Marketplace Members. Meeting materials were mailed to Members on August 10, 2017.

If you have any questions or require more information with regard to the procedures for attending the meeting and voting, please contact D.F. King Canada (“D.F. King”), our proxy solicitation agent, by telephone, toll-free in North America at 1-866-521-4428 or outside North America at 201-806-7301 or by e-mail at inquiries@dfking.com.