

IIROC NOTICE

Rules Notice Technical

Dealer Member Rules

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List of Basel Accord Countries

This list identifies countries that qualify under the definition of “Basel Accord countries” in the General Notes and Definitions to Form 1. This list is to be used by Dealer Members for the purposes of determining entities that qualify as “acceptable counterparties” and “acceptable institutions” as defined in the General Notes and Definitions to Form 1.

Acceptable counterparties and acceptable institutions are two of four counterparty classification categories, which are to be used by Dealer Members in applying margin and capital requirements on transactions with these counterparties. This list has been updated by adding Austria.

This list replaces the previous list provided in IIROC Notice 12-0307, issued on October 19, 2012 and is effective on July 15, 2016.



LIST OF BASEL ACCORD COUNTRIES

Effective from July 15, 2016 until replaced by a subsequent list.

1. Australia
2. Austria
3. Belgium
4. Brazil
5. Canada
6. Denmark
7. Finland
8. France
9. Germany
10. Hong Kong SAR
11. Ireland
12. Italy
13. Japan
14. Luxembourg
15. Mexico
16. Netherlands
17. New Zealand
18. Singapore
19. Spain
20. Sweden
21. Switzerland
22. United Kingdom
23. United States