

IIROC NOTICE

Rules Notice Technical

Dealer Member Rules

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List of Entities Considered Suitable to Hold LBMA Gold and Silver Delivery Bars

Attached is a list of entities considered suitable to hold London Bullion Market Association (LBMA) gold and silver delivery bars for the purposes of the definition of acceptable securities locations in the General Notes and Definitions to Form 1. This list has been updated by adding “UBS Switzerland AG” and removing “Canadian Imperial Bank of Commerce”. This list allows both a Dealer Member’s inventory and client positions in gold and silver bullion to be held at an entity on this list without capital penalty to the Dealer Member, provided that other related Dealer Member Rules (such as written custodial agreement and segregation) are met.

The list replaces the previous list, which was issued as part of IIROC Notice 14-0123, and is effective March 18, 2016.



LIST OF ENTITIES CONSIDERED SUITABLE TO HOLD LBMA GOLD AND SILVER GOOD DELIVERY BARS

[Effective March 18, 2016]

1. Bank of Montreal (LBMA Ordinary Member)
2. Brink's Limited (LBMA Ordinary Member)
3. International Depository Services of Canada Inc. (LBMA Associate Member)
4. Royal Bank of Canada Limited (LBMA Ordinary Member)
5. Royal Canadian Mint (LBMA Associate Member)
6. The Bank of Nova Scotia - ScotiaMocatta (LBMA Market-Making Member)
7. UBS AG (LBMA Market-Making Member)
8. UBS Switzerland AG (LBMA Ordinary Member)