

IIROC NOTICE

Rules Notice
Guidance Note

Dealer Member Rules

Please distribute internally to:

Credit
Internal Audit
Legal and Compliance
Regulatory Accounting
Senior Management
Trading Desk
Training

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February 5, 2009

List of Approved Inter-Dealer Bond Brokers

Attached is a list of approved inter-dealer bond brokers for the purposes of Schedule 5 of Form 1. This list replaces the list of approved inter-dealer bond brokers that was previously listed within Note 7 of the Notes and Instructions to Schedule 5 of Form 1. The list is effective on February 23, 2009.



List of Approved Inter-Dealer Bond Brokers

[Updated as at February 5, 2009.]

1. Freedom International Brokerage Company
2. ICAP Electronic Broking LLC
3. ICAP Securities LLC
4. Prebon Canada Limited
5. Shorcan Brokers Limited