

IIROC NOTICE

Rules Notice
Guidance Note
Dealer Member Rules

Please distribute internally to:
Institutional
Internal Audit
Legal and Compliance
Operations

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List of Acceptable Trade Matching Utilities for the purposes of IIROC Dealer Member Rule 800.49 relating to Broker to Broker Trade Matching

Pursuant to IIROC Dealer Member Rule 800.49, all non-exchange trades involving CDS eligible securities executed between two IIROC Dealer Members are to be reported to an Acceptable Trade Matching Utility. [Attached](#) is an updated List of Acceptable Trade Matching Utilities, reflecting the addition of Alpha ATS L.P.

This list supersedes the list included in IDA Member Regulation Notice MR0517.