

**IN THE MATTER OF:**

**THE RULES OF THE INVESTMENT INDUSTRY REGULATORY  
ORGANIZATION OF CANADA**

**AND**

**REGENT CAPITAL PARTNERS INC.**

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## **ORDER**

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**THIS APPLICATION**, made by Staff of the Investment Industry Regulatory Organization of Canada (“IIROC”) for an Order suspending the membership of Regent Capital Partners Inc. (“Regent”) and ancillary relief was heard on January 21, 2022 at Toronto, Ontario, pursuant to sections 8212 and 8426 of the IIROC Rules.

**ON READING** the materials filed in support of this application,

**AND ON NOTING** the Consent of Regent to this Order,

**AND ON HEARING** the submissions of counsel for Staff,

**THIS HEARING PANEL ORDERS** that:

1. Pursuant to sections 8212(4)(i) of the IIROC Rules, Regent’s membership in IIROC is suspended immediately.
2. Pursuant to section 8212(4)(iv) of the IIROC Rules, Regent will immediately cease representing to the public on its website or by any other means that it is a member or provides investment services.
3. At any time, IIROC Staff may make an application, without notice to Regent, in a written hearing, for an order expelling Regent as a Dealer Member and terminating its rights and privileges of membership.
4. This Order will come into effect immediately.

**DATED** at Toronto, Ontario, this 21 day of January 2022.

***Executed by:***

Louise Barrington

David Lang

Jane Waechter