

## FAS CONTINGENCY PLANNING SUBCOMMITTEE QUALIFICATIONS OF THIRD PARTY REVIEWERS

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IIROC will certify individuals rather than firms, at the request of the IIROC Member. In this manner we will ensure that reviewers have the necessary qualifications and expertise to do the review.

### **General**

Approval is granted by IIROC and is based upon an assessment of a written request from the Member stating the following:

- The individual has read and clearly understands Rule 17.16.
- A detailed summary of the individual(s) credentials within the discipline of Business Continuity Planning and brokerage audits, which at a minimum must include some relevant experience in business continuity planning covering such subject areas as:
  - Project/Program Management
  - Developing business continuity management and crisis management strategies
  - Developing and implementing business continuity and crisis management plans
  - Risk evaluation and control
  - Business Impact Analysis
  - Emergency response and operations
  - Ongoing awareness and training programs
  - Plan testing requirements
  - Plan execution
  - Crisis communications

A written reference from a recent BCP related assignment is preferred.

Special consideration will be given to those who have achieved senior designations from DRI International or the Business Continuity Institute (BCI).