

IN THE MATTER OF:

**THE RULES OF THE INVESTMENT INDUSTRY REGULATORY
ORGANIZATION OF CANADA**

AND

SYLVAIN TRUDEL

NOTICE OF APPLICATION FOR SETTLEMENT HEARING

A hearing has been scheduled before a Hearing Panel of the Investment Industry Regulatory Organization of Canada (IIROC) to consider whether the Hearing Panel should accept a Settlement Agreement entered into between IIROC Staff (Staff) and Sylvain Trudel (Respondent), pursuant to Rule 8428 of IIROC's Enforcement, Examination and Approval Rules.

The settlement hearing will be held by way of videoconference on September 22, 2021 at 9:30 a.m.

METHOD OF HEARING

The settlement hearing will be conducted as an electronic hearing (videoconference).

THE SETTLEMENT AGREEMENT

The Settlement Agreement concerns an allegation that Sylvain Trudel effected discretionary trades.

THE SETTLEMENT HEARING

The hearing is not open to the public, but the public will be advised whether the Settlement Agreement has been accepted or rejected. If the agreement is accepted, the Hearing Panel's decision and the settlement agreement will be made available to the public.

DATED this 12th day of July, 2021.

(S) National Hearing Coordinator

NATIONAL HEARING COORDINATOR

Investment Industry Regulatory

Organization of Canada

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