

PART VIII – EXECUTION OF SETTLEMENT AGREEMENT

- 29. This Settlement Agreement may be signed in one or more counterparts which together will constitute a binding agreement.
- 30. A fax or electronic copy of any signature will be treated as an original signature.

DATED this 24 day of November, 2020.

“Witness”

Witness

“Glenn Molson”

Glenn Molson
(Respondent)

“Witness”

Witness

“Stacy Robertson”

Stacy Robertson
Enforcement Counsel on behalf of Enforcement
Staff of the Investment Industry Regulatory
Organization of Canada

The Settlement Agreement is hereby accepted this 17 day of December, 2020 by the following Hearing Panel:

Per: “Stephen Gill”

Panel Chair

Per: “Johannes van Koll”

Panel Member

Per: “Brian Field”

Panel Member

Copyright © 2021 Investment Industry Regulatory Organization of Canada. All Rights Reserved