



Appendix B

Comments Received in Response to

IIROC Notice 17-011 – Rules Notice Request For Comments – MIR

Re-Publication of Proposed Amendments Respecting the Reporting of Certain Trades on Acceptable Foreign Trade Reporting Facilities

On May 25, 2017, IIROC issued Notice 17-011 requesting comments on proposed amendments respecting the reporting of certain trades on acceptable foreign trade reporting facilities. IIROC received comments on the Proposed Amendments from:

Investment Industry Association of Canada (IIAC)

A copy of the comment letter received in response to the 2017 Proposed Amendments is publicly available on IIROC’s website (www.iiroc.ca). The following table presents a summary of the comments on the 2017 Proposed Amendments together with IIROC’s responses to those comments.

Text of Provision Following Adoption of the 2017 Proposed Amendments	Commentator and Summary of Comment	IIROC Response to Commentator and Additional IIROC Comments
<p>1.1 Definitions</p> <p>“Acceptable Foreign Trade Reporting Facility” means a trade reporting facility or similar facility outside Canada:</p> <p>(a) on which the reporting of trades is monitored for compliance with regulatory requirements at the time of reporting by a regulatory organization that is a member of the International Organization of Securities Commissions;</p> <p>(b) that displays and provides timely information of the price, volume and security identifier of each trade at the time of the reporting and included on a list of acceptable foreign trade reporting facilities published on the IIROC website;</p> <p>(c) included on a list of acceptable foreign trade reporting facilities published on the IIROC website.</p>		

