



## Attachment A – Final Amendments to UMIR 1.1 and 6.2

The Universal Market Integrity Rules are hereby amended as follows:

1. Section 1.1 is amended by:
  - a. adding the following definition of “bundled order”:  
“**bundled order**” means an order that includes a client order as well as a non-client order or principal order, or both.
  - b. adding the following definition of “derivative-related cross”:  
“**derivative-related cross**” means a prearranged trade resulting from an order entered on a marketplace by a Participant or Access Person for a particular security that is fully offset by a trade in a related security that is a derivative instrument.
2. Paragraph 6.2(1)(b) is amended by:
  - a. adding the following after subparagraph (vii):  
(vii.1) a derivative-related cross,
  - b. deleting “or” at the end of subparagraph (xv)
  - c. replacing “.” at the end of subparagraph (xvi) with “, or”
  - d. adding the following after subparagraph (xvi):  
(xvii) a bundled order.
3. Subparagraph 6.2(6)(a) is amended by:
  - a. replacing “(vii)” after “sub-clause (i) to” with “(vii.1)”
  - b. replacing “;” after “clause (1)(b)” with “,”
  - c. adding “but for a bypass order that is not part of a designated trade,” after “inclusive of clause (1)(b)” and before “and”
4. Subparagraph 6.2(6)(b) is amended by:
  - a. replacing “(xvi)” after “sub-clause (viii) to” with “(xvii)”