



Appendix B – Text of UMIR Amendments

The Universal Market Integrity Rules are hereby amended as follows:

1. Clause (a) of subsection (1) of Rule 6.2 is amended by:
 - (a) inserting the following subclause:
 - (iv) the client for or on behalf of whom the order is entered where the order originates from a Dealer Member that provides order execution services and the order requires a client identifier pursuant to Dealer Member Rule 3200,
 - (b) renumbering subclauses (iv) and (v), respectively, as (v) and (vi).