



## Schedule 2

### CSI Historical Retail and IIROC Pricing

	2001		2002		2005		2006		2007		2008		2009		2010		2011		2012		2013		2014	
	Retail	IIROC	Retail	IIROC	Retail	IIROC	Retail	IIROC	Retail	IIROC	Retail	IIROC	Retail	IIROC	Retail	IIROC	Retail	IIROC	Retail	IIROC	Retail	IIROC	Retail	IIROC
Canadian Securities Course (CSC)	\$495	\$340	\$550	\$440	\$750	\$600	\$800	\$640	\$880	\$704	\$880	\$704	\$880	\$704	\$915	\$732	\$950	\$732	\$950	\$732	\$985	\$775	\$985	\$775
Conduct and Practices Handbook (CPH)	\$310	\$200	\$450	\$360	\$500	\$400	\$535	\$428	\$580	\$464	\$580	\$464	\$580	\$464	\$610	\$488	\$650	\$488	\$650	\$488	\$675	\$500	\$675	\$500
Wealth Management Essentials (WME)							\$950	\$760	\$950	\$760	\$950	\$760	\$1,045	\$836	\$1,045	\$836	\$1,140	\$836	\$1,160	\$836	\$1,160	\$870	\$1,160	\$870
Derivatives Fundamentals Course (DFC)	\$410	\$340	\$550	\$440	\$550	\$440	\$575	\$460	\$615	\$492	\$615	\$492	\$615	\$492	\$645	\$516	\$705	\$564	\$740	\$592	\$740	\$592	\$770	\$616
Derivatives Fundamentals and Options Licensing Course (DFOL)																	\$950	\$760	\$1,010	\$808	\$1,010	\$808	\$1,095	\$876
Options Licensing Course (OLC)	\$225	\$180	\$400	\$320	\$400	\$320	\$400	\$320	\$430	\$344	\$430	\$344	\$430	\$344	\$450	\$360	\$450	\$360	\$480	\$384	\$480	\$384	\$495	\$396
Futures Licensing Course (FLC)	\$225	\$180	\$400	\$320	\$400	\$320	\$400	\$320	\$430	\$344	\$430	\$344	\$430	\$344	\$450	\$360	\$450	\$360	\$480	\$384	\$480	\$384	\$495	\$396
Partners, Directors and Senior Officers Course (PDO)	\$260	\$150	\$450	\$360	\$850	\$680	\$890	\$712	\$890	\$712	\$940	\$752	\$1,030	\$824	\$1,030	\$824	\$1,080	\$864	\$1,130	\$904	\$1,130	\$904	\$1,130	\$904
Branch Managers Course (BMC)	\$280	\$220	\$400	\$320	\$850	\$680	\$890	\$712	\$890	\$712	\$890	\$712	\$890	\$712	\$975	\$780	\$975	\$780	\$1,035	\$828	\$1,035	\$828	\$1,100	\$880
Options Supervisors Course (OPSC)	\$220	\$180	\$400	\$320	\$850	\$680	\$850	\$680	\$850	\$680	\$850	\$680	\$935	\$748	\$935	\$748	\$1,020	\$816	\$1,020	\$816	\$1,020	\$816	\$1,080	\$864
Canadian Commodity Supervisors Exam (CCSE)	\$250	\$185	\$400	\$320	\$850	\$680	\$890	\$712	\$890	\$712	\$890	\$712	\$890	\$712	\$890	\$712	\$975	\$780	\$975	\$780	\$975	\$780	\$995	\$796
Effective Management Seminar	\$1,400	\$1,400	\$1,400	\$1,400	\$1,400	\$1,400	\$1,900	\$1,900	\$1,900	\$1,900	\$1,900	\$1,900	\$1,900	\$1,900	\$1,900	\$1,900	\$2,000	\$1,600	\$2,000	\$1,600	\$2,000	\$1,600	\$2,000	\$1,600
New Entrants Exam Course (NEC)	\$215	\$215	\$450	\$360	\$1,000	\$800	\$1,050	\$840	\$1,050	\$840	\$1,050	\$840	\$1,050	\$840	\$1,050	\$840	\$1,155	\$924	\$1,245	\$996	\$1,245	\$996	\$1,245	\$996
Trader Training Course (TTC)	\$270	\$210	\$400	\$320	\$850	\$680	\$850	\$680	\$850	\$680	\$890	\$712	\$890	\$712	\$975	\$780	\$975	\$780	\$975	\$780	\$975	\$780	\$975	\$780
Chief Compliance Officers Qualifying Exam (CCO)											\$375	\$300	\$375	\$300	\$375	\$300	\$410	\$328	\$410	\$328	\$410	\$328	\$445	\$356
Chief Financial Officers Qualifying Exam (CFO)					\$375	\$300	\$375	\$300	\$375	\$300	\$375	\$300	\$375	\$300	\$375	\$300	\$375	\$300	\$410	\$328	\$410	\$328	\$410	\$328
Investment Management Techniques (IMT)	\$425	\$290	\$500	\$400	\$650	\$520	\$650	\$520	\$685	\$548	\$685	\$548	\$685	\$548	\$720	\$576	\$720	\$576	\$765	\$612	\$765	\$612	\$795	\$636
Portfolio Management Techniques (PMT)	\$425	\$290	\$500	\$400	\$650	\$520	\$650	\$520	\$700	\$560	\$700	\$560	\$700	\$560	\$750	\$600	\$750	\$600	\$800	\$640	\$800	\$640	\$800	\$640
Advanced Investment Strategies (AIS)																	\$600	\$480	\$635	\$508	\$635	\$508	\$660	\$528

Note 1: In 2001, online versions of CSC, CPH, and DFC were introduced. Prices for textbook only version of courses in 2001 were:

CSC (\$415/\$260), CPH (\$260/\$150), DFC (\$350/\$280) for Retail/IIROC respectively. In 2002, textbook only version was discontinued.

Note 2: Prices set in January 2006 and January 2011 IIROC-CSI agreements were based on 2005 and 2010 prices.

Note 3: IIROC prices from 2005-2008 were based on discounts ranging from 15%-25% depending on firm purchases. The average discount was 20%.

Note 4: From 2002-2010, retail and IIROC price for EMS was the same. All enrolments were with IIROC members.

**IIROC Notice 14-0181 – Administrative Notice – Request for Comments – Proficiency Assurance: The Next Phase – Consultation Relating to Expiry of CSI Contract**