

## 90-DAY TRAINING PROGRAM CERTIFICATE

### For Registered Representatives

This certificate confirms that \_\_\_\_\_ meets all of the following:

- commenced full time employment on \_\_\_\_\_
- successfully completed the Canadian Securities Course or the CFA Level 1 or higher<sup>1</sup> on \_\_\_\_\_
- completed a 90-day training program on \_\_\_\_\_
- has not conducted any activities requiring registration and/or approval<sup>2</sup>.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name of Partner/Director or Senior Officer of Dealer Member responsible for training (*please print*)

\_\_\_\_\_  
Signature of above Partner/Director or Senior Officer of Dealer Member

\_\_\_\_\_  
Dealer Member

<sup>1</sup> For applicants who received the CFA Charter, provide date of CFA Charter.

<sup>2</sup> During completion of the training program, the individual is not permitted to conduct any activities or act in any capacity that requires registration and/or approval as a Registered Representative.