









# TO: INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA ("IIROC")

The undersigned has subscribed for and agreed to purchase, as principal, the securities described in this form.

The undersigned hereby undertakes not to sell, assign, transfer, encumber or otherwise dispose of any of the said securities, including those acquired through subsequent purchases, and any securities derived therefrom without the prior written approval of the applicable IIROC District Council.

Undersigned is (check one):

- the *investor*, if the *investor* is an *individual*
- the Chief Executive Officer of the entity owning securities in the *Dealer Member*, if the *investor* is not an *individual*
- the trustee, trust administrator or director with proxy voting rights, if the securities are held on behalf of beneficiaries.

\_\_\_\_\_  
Date (mm/dd/yy)

\_\_\_\_\_  
Signature of *individual investor*

\_\_\_\_\_  
Name of *individual investor* (please print)

\_\_\_\_\_  
Date (mm/dd/yy)

\_\_\_\_\_  
Signature of CEO of Non-*individual investor* or Trustee, Trust Administrator or Director

\_\_\_\_\_  
Name of CEO of Non-*individual investor* or Trustee, Trust Administrator or Director (please print)