

LIST OF ACCEPTABLE FOREIGN TRADE REPORTING FACILITIES

The Investment Industry Organization of Canada (IIROC) has designated each of the following to be an “acceptable foreign trade reporting facility” for the purposes of the Universal market Integrity Rules (UMIR).

Acceptable Trade Reporting Facility	Information on Trade Reporting Facility
FINRA Over-the-Counter Trade Reporting Facility (ORF)	http://www.finra.org/industry/orf
FINRA/Nasdaq Trade Reporting Facility (FINRA/Nasdaq TRF)	http://www.finra.org/industry/trf
FINRA/NYSE Trade Reporting Facility (FINRA/NYSE TRF)	http://www.finra.org/industry/trf

This list is effective November 7, 2018 and remains in effect until replaced by a new list.