



## Investment Industry Regulatory Organization of Canada MTRS 2.0 Dealer Member Enrollment

### Dealer Member Information

Legal Name of Dealer Member Entity: \_\_\_\_\_

Legal Entity Identifier (LEI): \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ Province: \_\_\_\_\_ Postal Code: \_\_\_\_\_

### Business Contact

Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

### Technical Contact

Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

### Transaction Information

Bond Transactions:  Yes  No      Retail Transactions:  Yes  No

Money Market Transactions:  Yes  No      Institutional Transactions:  Yes  No

Repo Transactions:  Yes  No

Carrying Dealer:  Yes  No

If yes, please attach list of Introducing Dealer Member Legal Names.

### Authorized Agent Information

Legal Name of Authorized Agent: \_\_\_\_\_

Contact Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Please attach additional Authorized Agents if needed.

### Dealer Member Authorization

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_