



## **Appendix F – List of questions included in this Notice**

### **Question # 1**

Do you agree with the two-phased approach and with the rule provisions included in each phase as set out in appendices B, C and D?

### **Question # 2**

Do the proposed changes clearly and sufficiently differentiate between securities and derivatives?

### **Question # 3**

Are there any provisions in UMIR 2.2 or Policy 2.2 that should not apply to listed derivatives?

### **Question # 4**

Are there other examples of manipulative or deceptive activities that are specific to listed derivatives that we should add to Part 2 of Policy 2.2?

### **Question # 5**

Do you agree the prohibition on frontrunning, and related pre-hedging exemption, should be extended to derivatives?

### **Question # 6**

What would be an appropriate order threshold for determining an “active” OEO client for listed derivatives? What criteria should we consider in determining an “active” OEO client for listed derivatives?

### **Question # 7**

Have we identified all of the proposed provisions that will materially impact investors, Participants, Marketplaces or IIROC? If not, please list any other proposed provisions that you believe will materially impact one or more parties and why.

### **Question # 8**

Overall, do you agree with IIROC’s qualitative assessment that the benefits of the Proposed Amendments are proportionate to their costs? Please provide reasons for your stance.