

IN THE MATTER OF:

THE INVESTMENT DEALER RULES AND PARTIALLY
CONSOLIDATED RULE AND MUTUAL FUND DEALER
RULES OF THE NEW SELF- REGULATORY ORGANIZATION
OF CANADA

And

(NAME OF THE RESPONDENT)

NOTICE OF WITHDRAWAL OF LAWYER

[\(Rule 8405 \(6\) \(7\) of the Investment Dealer Rules and Partially Consolidated Rules¹](#) and [Rule 3.2 \(4\) of the Mutual Funds Dealer Rule²](#) and

TAKE NOTICE that (*name of the lawyer/agent*), formerly the lawyer/agent for (*name of the Respondent*) in this matter, hereby withdraws as the lawyer/agent acting for (*name of the Respondent*), and the last known address of the Respondent is (*last known address of the party for whom the lawyer/agent has ceased to act*).

(Date)

(Lawyer/agent contact information)

Name

Firm

Address

Email

Phone

TO: Name of the Respondent
 Address of the Respondent
 Email of the Respondent
 Phone of the Respondent

¹ 8405 (6) Counsel or an agent for a party may withdraw as counsel or agent by serving on the party and other parties and filing a written notice of withdrawal.

8405 (7) If counsel or an agent for a party seeks to withdraw as counsel or agent less than 30 days prior to the date on which a matter is scheduled to be heard by a hearing panel, the counsel or agent may withdraw only with leave of the hearing panel obtained on a motion.

² 3.2 (4) Where a counsel or agent ceases to act for a party, the counsel or agent should immediately notify the Secretary and every other party in writing.

AND TO: **NEW SELF-REGULATORY ORGANIZATION OF CANADA**

121 King St. West, Suite 2000

Toronto ON M5H 3T9

Name of Enforcement Counsel

Email

Phone