

IN THE MATTER OF:

THE INVESTMENT DEALER RULES AND PARTIALLY  
CONSOLIDATED RULE AND MUTUAL FUND DEALER  
RULES OF THE CANADIAN INVESTMENT  
REGULATORY ORGANIZATION

and

(NAME OF THE RESPONDENT)

**NOTICE OF WITHDRAWAL OF LAWYER**

**(Rule 8405 (6) (7) of the Investment Dealer Rules and Partially Consolidated Rules<sup>1</sup> and  
Rule 3.2 (4) of the Mutual Funds Dealer Rule<sup>2</sup> and**

TAKE NOTICE that (*name of the lawyer/agent*), formerly the lawyer/agent for (*name of the Respondent*) in this matter, hereby withdraws as the lawyer/agent acting for (*name of the Respondent*), and the last known address of the Respondent is (*last known address of the party for whom the lawyer/agent has ceased to act*).

(Date)

(Lawyer/agent contact information)

Name

Firm

Address

Email

Phone

TO:           Name of the Respondent  
              Address of the Respondent  
              Email of the Respondent  
              Phone of the Respondent

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<sup>1</sup> 8405 (6) Counsel or an agent for a party may withdraw as counsel or agent by serving on the party and other parties and filing a written notice of withdrawal.

8405 (7) If counsel or an agent for a party seeks to withdraw as counsel or agent less than 30 days prior to the date on which a matter is scheduled to be heard by a hearing panel, the counsel or agent may withdraw only with leave of the hearing panel obtained on a motion.

<sup>2</sup> 3.2 (4) Where a counsel or agent ceases to act for a party, the counsel or agent should immediately notify the Secretary and every other party in writing..

*AND TO:* **CANADIAN INVESTMENT REGULATORY ORGANIZATION**

121 King St. West, Suite 2000

Toronto ON M5H 3T9

Name of Enforcement Counsel

Email

Phone