

IN THE MATTER OF:

THE INVESTMENT DEALER AND PARTIALLY CONSOLIDATED RULES

AND

BRANT SECURITIES LIMITED

ORDER

THIS APPLICATION, made by Enforcement Staff of the New Self-Regulatory Organization of Canada (the “Corporation”) for an Order expelling Brant Securities Limited from the Corporation and terminating its rights and privileges of membership was heard on February 23, 2023 at Toronto, Ontario, pursuant to subsection 8212(4)(v) and subsection 8426(1) of the Investment Dealer and Partially Consolidated Rules (the “Investment Dealer Rules”).

ON READING the materials filed in support of this application, including the consent of Brant Securities Limited,

AND ON hearing the submissions of counsel for the Corporation,

THIS HEARING PANEL ORDERS that:

1. Brant Securities Limited shall cease all dealings with clients and cease representing to any other persons that it is a member of the Corporation or provides investment services effective immediately.
2. Pursuant to subsection 8212(4)(v) of the Investment Dealer Rules, Brant Securities Limited shall be and hereby is expelled from the Corporation effective immediately.
3. Pursuant to subsection 8212(4)(v) of the Investment Dealer Rules, Brant Securities Limited’s rights and privileges of Corporation membership shall be and hereby are terminated effective immediately.

DATED at Toronto, Ontario, this 23 day of February 2023.

Marty Sclisizzi

Jane Waechter

Zahra Bhutani