

Now New Self-Regulatory Organization of Canada,
a consolidation of IIROC and the MFDA

Bare Trustee Agreements

Approved Fund Managers as of January 31, 2023

Fund Manager Funds covered by agreement	Region	Type of Products
1 1832 Asset Management L.P. (Dynamic Funds) Includes Deposit accounts	Canada	Mutual Funds / Hedge Funds / LSF's
2 3IQ CORP. 3IQ Corp.	Canada	Pooled Fund
3 Aberdeen Global Services S.A. Aberdeen Global II Funds Aberdeen Global Funds	Overseas	Mutual Fund
4 Accilent Capital Management Inc. Capsure Hedged Oil and Gas Income & Growth Trust	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
5 Acker Finley Asset Management Inc. QSATM Funds	Canada	Mutual Fund
6 ACM Advisors Ltd. ACM Commercial Mortgage Fund	Canada	Mortgage Investment Fund
7 Addenda Capital Inc. Addenda Pooled Funds	Canada	Pooled Fund
8 AGAWA Fund Management Inc. AGAWA Fund I Limited Partnership	Canada	Limited Partnership
9 AGF Investments Inc. Acuity Pooled funds AGF Elements family of mutual funds Harmony family of mutual funds Acuity family of mutual funds AGF family of mutual funds AGF Pooled funds	Canada	Mutual Fund / Pooled Fund
10 AIP Asset Management Inc. AIP Convertible Private Debt Fund LP	Canada	Limited Partnership
11 Aldergrove Credit Union	Canada	GIC's
12 Algonquin Capital Corporation	Canada	Limited Partnership
13 Aligned Capital Partners Inc.	Canada	Mutual Fund
14 Alignvest Capital Management Inc.	Canada	Hedge Fund
15 Alignvest Student Housing Real Estate Investment Trust Alignvest Student Housing REIT	Canada	REIT

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
16 Alitis Investment Counsel Inc. Alitis Mortgage Plus Fund Alitis Private REIT	Canada	REIT
17 AlphaFixe Capital Inc.	Canada	Pooled Fund
18 AlphaNorth Asset Management	Canada	Mutual Fund
19 Altema Asset Management Inc. Altema Diversified Equity Market Neutral Fund	Canada	Hedge Fund
20 Altervest Ltd.	Canada	Pooled Fund
21 Altrust Investment Solutions Inc.	Canada	Hedge Fund
22 Anson Funds Management LP Anson North Star Trust	Canada	Pooled Fund
23 Antares Investment Management, Inc.	Canada	Pooled Fund
24 Antrim Investments Ltd./Antrim Balanced Mortgage Fund Ltd. Antrim Balanced Mortgage Fund Ltd.	Canada	Mortgage Investment Fund
25 Arrow Capital Management Inc.	Canada	Mutual Fund / Hedge Fund
26 Artemis Investment Management Limited Alpha Scout Fund and RSP Fund Robson Alpha Scout Fund	Canada	Mutual Fund / Limited Partnership
27 Arxnovum Investments Inc. Arxnovum Bitcoin Fund	Canada	Pooled Fund
28 Aryeh Capital Management Ltd. Aryeh Capital Partners Canada, LP	Canada	Limited Partnership
29 ATB Investment Management Inc.	Canada	Mutual Fund
30 Auspice Capital Advisors Ltd. Auspice Diversified Trust	Canada	Mutual Fund Trust
31 Aventine Management Group Inc. BTH Tactical Growth Fund Aventine Canadian Equity Fund	Canada	Mutual Fund
32 Axcess Capital Advisors Inc.	Canada	Pooled Fund
33 AYAL Capital Advisors Limited AYAL Capital Advisors Trust Fund	Canada	Mutual Fund Trust
34 B.E.S.T Investment Counsel Limited The Business, Engineering, Science & Technology Discoveries Fund Inc. NB Specialty Finance Income Fund (CAD) B.E.S.T. Active 365 Fund LP B.E.S.T. Total Return Fund Inc.	Canada	Mutual Fund
35 B2B Bank	Canada	GIC's / Investment Savings Accounts
36 Baker Gilmore & Associates Inc. Baker Gilmore & Associates Pooled Funds	Canada	Pooled Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
37 Bank of America, National Association (Canada Branch)	Canada	GIC's
38 Bank of Canada	Canada	Other
39 Bank of Montreal	Canada	Investment Savings Account / GIC's
40 Bank of Montreal Mortgage Corp.	Canada	GIC's
41 Bank of Nova Scotia Montreal Trust Company of Canada Bank of Nova Scotia Scotia Mortgage Corporation National Trust Company / Victoria&Grey MortgageCorp.	Canada	GIC's
42 Barometer Capital Management Inc.	Canada	Mutual Fund / Pooled Fund
43 Barrage Capital Inc.	Canada	Mutual Fund / Hedge Fund / GIC's
44 Barrantagh Investment Management Inc.	Canada	Mutual Fund
45 Bastion Asset Management Inc.	Canada	Investment Funds
46 BCV Asset Management Inc.	Canada	Pooled Fund
47 Bedford Park Capital Corporation Bedford Park Opportunities Fund	Canada	Limited Partnership
48 Belco Private Capital Inc.	Canada	Pooled Fund
49 Bellwether Investment Management Inc. Bellwether family of funds.	Canada	Pooled Fund
50 Beutel Goodman & Company Ltd	Canada	Mutual Fund/Pooled Fund/Seg Fund
51 BirchLeaf Investments Inc. BirchLeaf Growth Fund	Canada	Pooled Fund
52 Black Swan Dexteritas Inc. BSD Global Tech Hedge Fund LP	Canada	Limited Partnership
53 BlackBridge Capital Management Corp.	Canada	Mutual Fund
54 BlackRock (Luxembourg) SA BlackRock Global Funds	Overseas	Mutual Fund
55 BlackRock Asset Management Canada Limited BlackRock Asset Management Canada Limited	Canada	Mutual Fund
56 Bloom Burton Investment Group Inc.	Canada	Pooled Fund
57 Bloom Investment Counsel, Inc.	Canada	Mutual Fund
58 BloombergSen Inc. BloombergSen Partners Trust	Canada	Mutual Fund
59 BMG Management Services Inc.	Canada	Mutual Fund

Fund Manager	<i>Region</i>	<i>Type of Products</i>
<i>Funds covered by agreement</i>		
60 BMO Investments Inc. / BMO Investissements Inc. BMO Mutual Funds	Canada	Mutual Fund
61 BMO Life Assurance Company BMO Guaranteed Investment Funds	Canada	Segregated Fund
62 BMO Nesbitt Burns Inc.	Canada	Mutual Fund / Linked Notes
63 BMO Private Investment Counsel Inc.	Canada	Mutual Fund
64 BMO Trust Company	Canada	GIC's / Investment Savings Accounts
65 BNP Paribas (Canada)	Canada	Linked Notes
66 BNY Mellon Global Management Ltd. BNY Mellon Global Management Ltd. family of funds Universal Liquidity Funds PLC	Overseas	Mutual Fund
67 Brandes Investment Partners & Co.	Canada	Mutual Fund
68 Brandywine Global Investment Management (Canada), ULC	Canada	Pooled Fund
69 Bridgeport Asset Management Inc. Bridgeport US Equity Fund Bridgeport Cdn Equity Fund Bridgeport High Income Fund	Canada	Investment Funds
70 Bridgewater Bank	Canada	GIC's
71 Bridging Finance Inc.	Canada	Pooled Fund
72 Bristol Gate Capital Partners Inc. Bristol Gate U.S. Equity Fund LP	Canada	Mutual Fund / Limited Partnership
73 Brompton Funds Limited	Canada	Mutual Fund
74 Brookfield Investment Management (Canada) Inc.	Canada	Pooled Fund
75 Brookfield Public Securities Group LLC Oaktree Strategic Credit Trust (Canadian Feeder)	U.S.	Mutual Fund
76 Brookfield Public Securities Group LLC/Brookfield Real Estate I Brookfield Real Estate Income Corp.	U.S.	Other
77 Brookfield Soundvest Capital Management Ltd. Soundvest North American Opportunity Pool	Canada	Pooled Fund
78 BT Global Growth Inc. BT Global Growth Fund L.P.	Canada	Hedge Fund
79 Bull Capital Management Inc. EFG Private Portfolio Series ING Canadian Equity Class Shares Global Equity Class Shares Balanced Class Shares	Canada	Pooled Fund
80 Burgundy Asset Management Ltd.	Canada	Mutual Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
81 CADO Investment Fund Management Inc. Maple Leaf Resources Class Maple Leaf Income Class	Canada	Mutual Fund
82 Caldwell Investment Management Ltd.	Canada	Mutual Fund
83 Canada Life Assurance Company (The)	Canada	Segregated Fund
84 Canadian Tire Bank Canadian Tire Bank	Canada	GIC's
85 Canadian Western Bank	Canada	GIC's
86 Canadian Western Trust	Canada	Mutual Fund / GIC's
87 Canoe Financial LP	Canada	Investment Funds / Limited Partnership
88 Canso Fund Management Ltd.	Canada	Mutual Fund
89 Capital Direct I Income Trust Capital Direct I Income Trust	Canada	Mortgage Investment Fund
90 Capital International Asset Management Inc. (Canada) Inc.	Canada	Mutual Fund
91 Capstone Asset Management Inc.	Canada	Pooled Fund
92 Cardinal Capital Management Inc. Cardinal Foreign Equity Pool Cardinal Canadian Equity Pool	Canada	Pooled Fund
93 Castle Ridge Asset Management Limited Castle Ridge LP Castle Ridge Market Neutral LP	Canada	Limited Partnership
94 Celernus Investment Partners Inc. Celernus Mortgage and Income Trust Fund Celernus Absolute Growth Fund	Canada	Hedge Fund
95 Centurion Asset Management Inc.	Canada	REIT
96 Chou Associates Management Inc.	Canada	Mutual Fund
97 Christopher Ross Cunningham Investments Ltd. CIG Value Fund	Canada	Pooled Fund
98 CI Investments Inc.	Canada	Mutual Fund / Segregated Fund
99 CIBC	Canada	Mutual Fund / GIC's / Linked Notes
100 CIBC Asset Management Inc.	Canada	Mutual Fund / Segregated Fund
101 CIBC Investor Network CIBC Trust Corporation CIBC Mortgages Inc.	Canada	GIC's

Fund Manager	Region	Type of Products
Funds covered by agreement		
CIBC Mortgages Inc. (FLT) Services Hypothecaires CIBC Inc. CIBC		
102 Cidel Asset Management Inc.	Canada	Pooled Fund
103 Coast Capital Savings Federal Credit Union	Canada	GIC's
104 Coastal Community Credit Union	Canada	GIC's
105 Community Trust Company Ltd.	Canada	GIC's
106 Concentra Bank	Canada	GIC's
107 Connor, Clark & Lunn Funds Inc. CC&L fund family	Canada	Mutual Fund
108 Connor, Clark & Lunn Private Capital Ltd.	Canada	Mutual Fund
109 Cornerstone Investment Counsel Ltd. Blueprint Enhanced Opportunities Pool Blueprint Global Strategies Pool	Canada	Pooled Fund
110 Cortland Credit Group Inc. Cortland Credit Strategies RRSP Fund Cortland Credit Strategies L.P.	Canada	Limited Partnership
111 Corton Capital Inc. Corton Global Timber Fund L.P.	Canada	Limited Partnership
112 Cote 100 Inc.	Canada	Mutual Fund
113 Counsel Portfolio Services Inc.	Canada	Mutual Fund
114 Covington Capital Corporation Covington Funds	Canada	Labor Sponsored Fund
115 Covista Capital Corp. Covista Value Fund Trust	Canada	Unit Trust
116 Cowan Asset Management Limited Cowan Absolute Return Fund	Canada	Pooled Fund
117 CQI Capital Management L.P. Genesis Partners Fund LP CQI Income Opportunities Fund CQI Equity Opportunities Fund II CQI Equity Opportunities Fund I	Canada	Mutual Fund
118 Credit Suisse AG	Overseas	Linked Notes
119 Crimson Asset Management Crimson Partners Small Cap Fund LP	Canada	Limited Partnership
120 Crystalline Mgt Inc. / Gestion Cristallin Inc.	Canada	Hedge Fund
121 Cumberland Private Wealth Management Inc. Kipling Private Investment Portfolios	Canada	Pooled Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
122 CWB Wealth Management Ltd. CWB Onyx Portfolio Series	Canada	Mutual Fund
123 Cypress Capital Management Ltd.	Canada	Pooled Fund
124 DaVinci Capital Partners Inc. DaVinci Alternative Income Fund	Canada	Pooled Fund
125 Davis-Rea Ltd. / Davis-Rea Ltee.	Canada	Mutual Fund / Pooled Fund
126 Deans Knight Capital Management Ltd. Deans Knight Capital Pool Funds Deans Knight Equity Growth Fund Deans Knight Income Fund Deans Knight Equity Growth Fund II	Canada	Pooled Fund / Segregated Funds
127 Delbrook Capital Advisors Inc. Delbrook Resource Opportunities Fund	Canada	Mutual Fund
128 Desjard. Cais. of Québec & Fédér. des Cais. Desjard. du Québec	Canada	GIC's
129 Desjardins Financial Security Life Assurance Co.	Canada	Segregated Funds / GIC's / Other
130 Desjardins Global Asset Management Inc.	Canada	Mutual Fund / Segregated Fund / GIC's
131 Desjardins Société de placement inc.	Canada	Mutual Fund
132 Desjardins Trust Inc. GIC's - Formerly Zag Bank GIC Maestral Funds	Canada	Mutual Fund / GIC's
133 Dimensional Fund Advisors Canada ULC.	Canada	Mutual Fund
134 Discovery Capital Management Corp.	Canada	Mutual Fund
135 Dixon Mitchell Investment Counsel Inc. Dixon Mitchell Small Cap Fund	Canada	Mutual Fund
136 DMAT Capital Management Inc. DMAT Tactical Income Fund LP	Canada	Limited Partnership
137 Donville Kent Asset Management Inc. Donville Kent Asset Management	Canada	Limited Partnership
138 Duncan Ross Associates Ltd.	Canada	Mutual Fund
139 Durham Asset Management Inc. DAMI Corporate Bond Fund	Canada	Mutual Fund
140 East Coast Asset Management SEZC East Coast SPC, Strategic Credit SP	Canada	Pooled Fund
141 East Coast Fund Management Inc.	Canada	Pooled Fund
142 East West Investment Management Corporation East West Canada Fund LP	Canada	Limited Partnership
143 EdgeHill Partners EdgeHill family of funds.	Canada	Hedge Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
144 EdgePoint Wealth Management Inc. Edgepoint family of mutual funds	Canada	Mutual Fund
145 Educators Financial Group Inc.	Canada	Mutual Fund
146 Effort Trust Company (The)	Canada	GIC's
147 Emerge Canada Inc. Emerge ARK ETF	Canada	Mutual Fund
148 Empire Life Insurance Company Interest investment options provided within an insurance contract Segregated Funds	Canada	Segregated Fund
149 Empire Life Investments Inc. Empire Life	Canada	Mutual Fund
150 EnTrust Focus Partners LP EnTrust 49 Focus Fund	Canada	Unit Trust
151 Epic Capital Management Inc.	Canada	Pooled Fund
152 eQuaTe Asset Management Inc.	Canada	Mutual Fund
153 Equilibrium Capital Management Inc.	Canada	Mutual Fund
154 Equitable Bank	Canada	GIC's / Investment Savings Accounts
155 Equitable Trust	Canada	GIC's / Investment Savings Accounts
156 Equiton Capital Inc. Equiton Residential Income Fund Trust	Canada	Pooled Fund
157 Equium Capital Management Inc.	Canada	Mutual Fund
158 Espresso Capital IFM Ltd.	Canada	Mutual Fund / Labor Sponsored Fund
159 ETF Capital Management Quintessence Wealth Group of Funds	Canada	Pooled Fund
160 Evolve Funds Group Inc.	Canada	Mutual Fund
161 Ewing Morris & Co. Investment Partners Ltd. Ewing Morris Flexible Income Fund LP Ewing Morris Small Cap Fund LP	Canada	Limited Partnership
162 Excalibur Capital Management Inc. Excalibur Limited Partnership Excalibur Small Cap Opportunities LP	Canada	Hedge Fund / Limited Partnership
163 Excel Funds Management Inc.	Canada	Mutual Fund
164 Faircourt Asset Management Inc. Faircourt Pooled Monthly Income Fund (Pooled Fund) Faircourt Dividend Fund (Mutual Fund)	Canada	Mutual Fund / Pooled Fund
165 Fairstone Bank of Canada XIB Fund	Canada	GIC's

Fund Manager Funds covered by agreement	Region	Type of Products
166 Federated Investors Canada ULC Federated Strategic Value U.S. Equity Dividend Fund	Canada	Mutual Fund
167 Fédération des caisses Desjardins due Quebec	Canada	Linked Notes
168 Fidelity Investments Canada ULC	Canada	Mutual Fund
169 Fiducie Desjardins Inc.	Canada	Mutual Fund
170 Fieldhouse Capital Management Inc. Fieldhouse Pro Funds Inc.	Canada	Mutual Fund
171 Fiera Capital Corporation	Canada	Mutual Fund/Hedge Fund/Pooled Fund
172 FIL Limited	Overseas	Mutual Fund
173 Financière des professionnels - Fonds d'investissement inc.	Canada	Mutual Fund
174 First Ontario Credit Union	Canada	GIC's
175 First Reliance Asset Management First Reliance Real Estate Investment Trust	Canada	REIT
176 First West Credit Union Ltd.	Canada	GIC's
177 Fondaction, Le Fonds De Develop. de la Confed. des Synd. Natio	Canada	Mutual Fund
178 Forge First Asset Management Inc. Forge First Long Short Alternative Fund Forge First Conservative Alternative Fund	Canada	Mutual Fund
179 Formula Growth Limited Formula Growth Fund Formula Growth Hedge Funds Class F Formula Growth Hedge Funds Class A	Canada	Mutual Fund / Hedge Fund
180 Forstrong Global Asset Management Inc. Forstrong Global Balanced Fund Forstrong Global Growth Fund Forstrong Global Income Fund	Canada	Hedge Fund
181 Fort LP	U.S.	Pooled Fund / Limited Partnership
182 Forum Asset Management Inc.	Canada	Mutual Fund
183 Foyston, Gordon & Payne Inc. FGP Funds Family of Pooled Funds	Canada	Pooled Fund
184 Franklin Templeton International Services S.à.r.l. Franklin Templeton Investment Funds	Overseas	Mutual Fund
185 Franklin Templeton Investments Corp. Franklin Templeton Mutual Funds Bissett Mutual Funds	Canada	Mutual Fund/Pooled Fund/Seg Fund
186 FrontFour Capital Corp.	Canada	Mutual Fund

Fund Manager	Region	Type of Products
Funds covered by agreement		
FrontFour Opportunity Fund		
187 Frontwater Capital Inc.	Canada	Mutual Fund
188 FT Portfolios Canada Co.	Canada	Mutual Fund
189 Fulcra Asset Management Inc. Fulcra Credit Opportunities Fund	Canada	Mutual Fund
190 Gabelli Funds (The)	U.S.	Mutual Fund
191 Galibier Capital Management Ltd. Galibier Canadian Global Equity Pool Galibier U.S. Equity Pool Galibier Opportunities Fund	Canada	Pooled Fund
192 Galileo Global Equity Advisors Inc.	Canada	Mutual Fund
193 Galliant Advisors LP Galliant Equity Long/Short Fund LP	Canada	Investment Funds
194 General Bank of Canada	Canada	GIC's / Investment Savings Accounts
195 Genus Capital Management	Canada	Pooled Fund
196 Gestion Ferique	Canada	Mutual Fund
197 GFI Investment Counsel Ltd. Good Opportunities Fund	Canada	Hedge Fund
198 Global Growth Assets Inc.	Canada	Mutual Fund
199 Globevest Capital Ltee.	Canada	Mutual Fund / Pooled Fund
200 Goodman & Company, Investment Counsel, Inc.	Canada	Pooled Fund
201 Goodwood Inc. Goodwood Funds	Canada	Mutual Fund
202 Government of Quebec (for Placements Quebec)	Canada	Other
203 Grafton Asset Management Inc. Global Energy Growth Fund	Canada	Unit Trust
204 Gravitas Securities Inc.	Canada	Mutual Fund
205 Grayhawk Investment Strategies Inc. Grayhawk Global Growth Pool Grayhawk Fixed Income Pool Grayhawk Opportunistic Alternatives Pool Grayhawk Structural Alternatives Pool Grayhawk Core Pool	Canada	Pooled Fund
206 GreensKeeper Asset Management Inc. GreensKeeper Value Fund	Canada	Pooled Fund
207 Groundlayer Capital Inc.	Canada	Venture Capital Fund

Fund Manager Funds covered by agreement	Region	Type of Products
208 Growth Works Capital Ltd. Working Opportunity Fund (EVCC) Ltd. GrowthWorks Atlantic Venture Fund Ltd.	Canada	Labor Sponsored Fund
209 Guardian Capital LP Guardian Funds Vega Funds Vector Funds	Canada	Pooled Fund
210 Hamilton Capital Partners Inc.	Canada	Limited Partnership
211 Hamilton Lane (Canada) LLC	U.S.	Mutual Fund
212 Harvest Portfolios Group Inc.	Canada	Mutual Fund
213 Haventree Bank	Canada	GIC's
214 Hazelview Securities Inc.	Canada	Mutual Fund / Limited Partnership
215 Hemisphere Capital Management Inc.	Canada	Pooled Fund
216 HGC Investment Management Inc. HGC Fund LP	Canada	Limited Partnership
217 Higgins Investment Group Inc. Restricted to Uber Strategis LP and Goforth Technical Fund LP	Canada	Limited Partnership
218 Highstreet Asset Management Inc.	Canada	Mutual Fund
219 Hillsdale Investment Management Inc.	Canada	Pooled Fund
220 Home Bank	Canada	GIC's / Investment Savings Accounts
221 Home Trust Company	Canada	GIC's / Investment Savings Accounts
222 HomeEquity Bank	Canada	GIC's
223 Horizons ETFs Management (Canada) Inc.	Canada	Unit Trust
224 HSBC Bank Canada Structured Alternative Investment Return Securities	Canada	Mutual Fund / GIC's
225 HSBC Investment Funds (Canada) Inc.	Canada	Mutual Fund
226 Hymas Investment Management Inc. Malachite Aggressive Preferred Fund	Canada	Mutual Fund / Hedge Fund
227 I.A. Michael Investment Counsel Ltd. ABC Funds	Canada	Mutual Fund
228 I.G. Investment Management Ltd.	Canada	Mutual Fund
229 IA Clarington Investments Inc.	Canada	Mutual Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
230 IBV Capital Ltd. IBV Capital Global Value Canadian Feeder Fund LP	Canada	Limited Partnership
231 iCapital Network Canada Ltd.	Canada	Pooled Fund
232 ICICI Bank Canada	Canada	GIC's / Investment Savings Accounts
233 ICM Asset Management Inc. ICM (1X) Real Estate Trust	Canada	Mutual Fund
234 Industrial Alliance Pacific Insurance and Financial Services Inc.	Canada	Segregated Fund
235 Industrial Alliance Trust Inc.	Canada	GIC's
236 Industrial and Commercial Bank of China (Canada)	Canada	GIC's
237 Industrielle Alliance, Assurance et services financiers inc.	Canada	Segregated Fund
238 Inflection Management Inc. Inflection Strategic Opportunity Fund	Canada	Unit Trust
239 Innocap Investment Management Inc. Quadra Liquid Bond L.P.	Canada	Limited Partnership
240 Innovation Credit Union	Canada	GIC's
241 Invesco Canada Ltd. GIC's The following investment products are covered: Segregated funds LP's Linked notes IncomeTrusts Investment Savings Accounts Investment funds	Canada	Investment Funds
242 Investec Asset Management Limited	Overseas	Mutual Fund
243 Invico Capital Corporation	Canada	Limited Partnership
244 Ivari	Canada	Mutual Fund / Segregated Fund
245 J. Zechner Associates Inc.	Canada	Pooled Fund
246 J.C. Clark Ltd.	Canada	Pooled Fund
247 Jarislowky, Fraser Limited	Canada	Mutual Fund / Pooled Fund
248 JDM Investments Partners Ltd. Investment Partners Fund	Canada	Pooled Fund
249 Jemekk Capital Management (2017) Inc.	Canada	Mutual Fund / Pooled Fund
250 JM Fund Management Inc.	Canada	Hedge Fund

Fund Manager	Region	Type of Products
Funds covered by agreement		
JM Catalyst Fund		
251 K J Harrison & Partners Inc.	Canada	Pooled Fund
252 K2 & Associates Investment Management Inc. The K2 Principal Trust	Canada	Mutual Fund Trust
253 KAI Asset Management Inc. KAI Core Equity Pool	Canada	Pooled Fund
254 Kawartha Asset Management Inc. Kawartha Energy Opportunities Fund Inc. Kawartha High Conviction Resource Fund	Canada	Mutual Fund/Hedge Fund/Pooled Fund
255 KEB Hana Bank Canada	Canada	GIC's
256 Kensington Capital Advisors Inc.	Canada	Pooled Fund
257 Kilgour Williams Capital Incorporated KiWi Private Credit Trust	Canada	Unit Trust
258 Kingwest & Company	Canada	Mutual Fund / Pooled Fund
259 Kinsted Wealth Inc.	Canada	Mutual Fund
260 Langdon Equity Partners Ltd.	Canada	Mutual Fund
261 Laurentian Bank of Canada Includes B2B Trust term deposits	Canada	GIC's
262 Laurentian Trust of Canada Inc.	Canada	GIC's
263 Laurus Investment Counsel	Canada	Pooled Fund
264 LBC Trust	Canada	GIC's
265 LDIC Inc. Includes LDIC Closed-End Funds	Canada	Mutual Fund / Pooled Fund
266 Le Fonds de Solidarite des travailleurs du Quebec (F.T.Q.)	Canada	Mutual Fund
267 League Savings and Mortgage Company	Canada	GIC's
268 Legg Mason Global Funds PLC	Overseas	Mutual Fund
269 Leith Wheeler Investment Counsel Ltd. Leith Wheeler family of funds	Canada	Mutual Fund
270 Lester Asset Management Inc.	Canada	Mutual Fund
271 Level 3 Investment Management Inc. Level 3 Total Return Opportunities Fund	Canada	Pooled Fund / Hedge Funds
272 Lightwater Partners Ltd. Lightwater Long/Short Funds	Canada	Hedge Fund
273 Lincluden Investment Management Limited	Canada	Mutual Fund / Pooled Fund

Fund Manager Funds covered by agreement	Region	Type of Products
274 LionGuard Capital Management Inc.	Canada	Limited Partnership
275 Lionridge Capital Management Inc. Lionridge Total Equity Fund	Canada	Pooled Fund
276 Longbow Capital Inc.	Canada	Mutual Fund
277 Lorica Investment Counsel Inc. Lorica Canadian Fixed Income Fund	Canada	Mutual Fund
278 Lorne Steinberg Wealth Management Inc. Steinberg High Yield Fund Steinberg Global Value Equity Fund	Canada	Pooled Fund
279 Lumen Asset Management Inc. Lumen Long Short Equity Fund LP	Canada	Limited Partnership
280 Lynwood Capital Management Inc. Lynwood family of funds	Canada	Pooled Fund
281 Lysander Funds Limited	Canada	Mutual Fund
282 Mackenzie Financial Corp.	Canada	Mutual Fund / Segregated Fund
283 MacNicol & Associates Asset Management Inc. The Global Opportunities Fund (LP) 360 Degree U.S. Reality Income Fund The Conservative Income Fund (LP) The Emergence Fund (LP) The Absolute Return Fund (LP)	Canada	Pooled Fund
284 Majestic Asset Management LLC	Canada	Mutual Fund / Pooled Fund
285 Manitou Investment Management Ltd. Manitou Investment Management Ltd.	Canada	Pooled Fund
286 Manufacturers Life Insurance Co. (The) Deferred Annuities	Canada	GIC's / Segregated Fund
287 Manulife Bank of Canada	Canada	GIC's / Investment Savings Accounts
288 Manulife Investment Management Ltd. Manulife Canadian Real Estate Investment Fund - Pooled Fund, Limited Partn Manulife Financial Corp. Family of Funds	Canada	Mutual Fund/Pooled Fund/Seg Fund
289 Manulife Trust Company	Canada	GIC's / Investment Savings Accounts
290 Marquest Asset Management Inc.	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
291 Marret Asset Management Inc. Marret	Canada	Hedge Fund
292 Marval Capital Ltd.	Canada	Mutual Fund
293 Matco Financial Inc. MFI series of funds	Canada	Mutual Fund
294 Mawer Investment Management Ltd.	Canada	Mutual Fund

Fund Manager Funds covered by agreement	Region	Type of Products
295 Maxam Capital Management Ltd.	Canada	Mutual Fund
296 MCAN Mortgage Corporation	Canada	GIC's
297 McElvaine Investment Management Ltd. The Osler Fund The McElvaine Investment Trust	Canada	Mutual Fund
298 McLean Asset Management Ltd. ROMC Trust	Canada	Mutual Fund
299 MD Financial Management Inc.	Canada	Mutual Fund
300 Meckelborg Financial Group Ltd. MFG Diversified Strategy Fund	Canada	Mutual Fund Trust
301 Mercer Global Investments Canada Limited	Canada	Pooled Fund
302 Merrill Lynch Canada Inc.	Canada	Linked Notes
303 Metric Asset Management Limited Partnership	Canada	Pooled Fund / Hedge Funds
304 MFS Investment Management Canada Limited	Canada	Mutual Fund
305 Middlefield Limited	Canada	Mutual Fund
306 Montrusco Bolton Investment Inc. Bolton Tremblay Funds inc.	Canada	Mutual Fund
307 Morgan Meighen & Associates Limited Smedley Fund Family	Canada	Pooled Fund
308 Mortgage Company of Canada Inc.	Canada	Mortgage Investment Fund
309 Mulvihill Capital Management Inc.	Canada	Mutual Fund
310 Natcan Trust Company	Canada	Mutual Fund / Pooled Fund
311 National Bank Investments Inc. Includes PPN's	Canada	Mutual Funds / GIC's / Inv. Savings Acct
312 National Bank of Canada Natcan Trust GIC's National Bank Trust GIC's National Bank of Canada GIC's	Canada	GIC's
313 National Bank Trust Inc.	Canada	Mutual Fund / Pooled Fund
314 National Trust Company Family of Funds Tourism & Entertainment Growth Fund Inc.	Canada	Mutual Fund
315 NCM Asset Management	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
316 Neighbourhood Holdings LP/Neighbourhood Holding Company Neighbourhood Holdings Limited Partnership	Canada	Mortgage Investment Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
317 Neuberger Berman Canada ULC NB Speciality Finance Income Fund	Canada	Pooled Fund
318 NewGen Asset Management Limited	Canada	Unit Trust
319 Newport Private Wealth Inc.	Canada	Mutual Fund
320 Next Edge Capital Corp.	Canada	Hedge Fund
321 Niagara Capital Partners Ltd. Niagara Access Alpha Fund	Canada	Mutual Fund
322 Nicola Wealth Management Ltd. NWM family of funds SPIRE LP's	Canada	Mutual Fund / Limited Partnership
323 Ninepoint Partners LP	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
324 North Growth Management Ltd.	Canada	Mutual Fund
325 Northern Savings Credit Union Terrace & District Credit Union	Canada	GIC's
326 Northpoint Investment Partners Ltd.	Canada	Pooled Fund
327 NorthStream Capital Inc. NorthStream Credit Strategies Fund LP	Canada	Limited Partnership
328 Northwest & Ethical Investments L.P.	Canada	Mutual Fund
329 Nymbus Capital Inc.	Canada	Mutual Fund
330 OCP Fund Management LP	Canada	Limited Partnership
331 OGAM Ltd.	Canada	Pooled Fund
332 Onex Credit Partners, LLC OCP Debt Opportunity Class Onex Credit Partners LLC	Canada	Pooled Fund
333 Optimize Inc. Optimized Pooled Funds	Canada	Mutual Fund Trust
334 Optimum Asset Management Inc.	Canada	Mutual Fund / Hedge Fund / GIC's
335 Outcome Metric Asset Management LP	Canada	Pooled Fund
336 Palette Investment Management Inc. First Canadian Securities 2017	Canada	Limited Partnership
337 Palisade Capital Management Ltd.	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
338 Palos Management Inc. Rendez-vous Global Equity Fund LP - \$CDN and \$US Palos Family of funds	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
339 Pathfinder Asset Management Ltd.	Canada	Mutual Fund

Fund Manager Funds covered by agreement	Region	Type of Products
340 Peace Hill Trust Company	Canada	GIC's
341 Pembroke Private Wealth Management Ltd.	Canada	Mutual Fund / Pooled Fund
342 PenderFund Capital Management Ltd. Pender Value Fund II Pender Value Fund Pender Strategic Growth and Income Fund Pender Special Situations Fund Pender Small/Mid Cap Dividend Fund Pender Small Cap Opportunities Fund Pender Emerging Markets Impact Fund Pender Income Advantage Fund Pender Partners Fund Pender Global Focused Fund Pender Alternative Absolute Return Fund Pender Alternative Arbitrage Fund Pender Bond Universe Fund Pender Corporate Bond Fund Pender Enhanced Income Fund	Canada	Mutual Fund
343 Peoples Trust Company	Canada	GIC's
344 Perennial Asset Management Corp. Perennial Equity Portfolio Perennial Fixed Income Portfolio	Canada	Mutual Fund
345 Performance Capital Ltd.	Canada	Hedge Fund
346 Periscope Capital Inc.	Canada	Pooled Fund / Limited Partnership
347 PFM Venture Capital Operations Inc. SaskWorks Venture Fund Inc.	Canada	Labor Sponsored Fund
348 Picton Mahoney Asset Management	Canada	Mutual Fund / Hedge Fund
349 Pier 21 Asset Management Inc. Pier 21	Canada	Pooled Fund
350 PIMCO Canada Corp.	Canada	Mutual Fund / Hedge Fund
351 PIMCO Global Advisors (Ireland) Limited PIMCO Funds - Global Investor Services	Overseas	Mutual Fund
352 Pinnacle Wealth Brokers Inc. Pinnacle Listed Equity Pool	Canada	Pooled Fund
353 Polar Asset Management Partners Inc.	Canada	Hedge Fund
354 Pollitt Investment Counsel Inc. Plutus Super Flow-through Limited Partnership	Canada	Pooled Fund / Limited Partnership
355 Portfolio Stewards Inc. Stewardship Alternative Income Fund	Canada	Mutual Fund
356 Portland Investment Counsel Inc.	Canada	Investment Funds / Limited Partnership

Fund Manager Funds covered by agreement	Region	Type of Products
357 Pratte Gestion de portefeuilles	Canada	Investment Funds
358 President's Choice Bank	Canada	GIC's
359 Primevest Capital Corp.	Canada	Mutual Fund
360 Prospera Credit Union	Canada	GIC's / Other
361 Provisus Wealth Management Limited	Canada	Pooled Fund
362 Purpose Investment Partners Inc. AHF Credit Opportunities Fund - Series A, F and I	Canada	Mutual Fund/Hedge Fund/Pooled Fund
363 Purpose Investments Inc.	Canada	Mutual Fund
364 Pursuit Financial Management Corp.	Canada	Mutual Fund
365 Putnam Investment Inc.	Canada	Mutual Fund
366 Quadrant Asset Management QAM Tracking Pools	Canada	Pooled Fund
367 Quantum Leap Asset Management Limited Quantum Leap Mortgage Investment Fund	Canada	Mutual Fund
368 QV Investors Inc. QV Pooled Funds	Canada	Pooled Fund
369 Qwest Investment Fund Management Ltd.	Canada	Mutual Fund / Limited Partnership
370 R.E.G.A.R. Gestion Privée Inc.	Canada	Mutual Fund
371 R.N. Croft Financial Group Inc.	Canada	Mutual Fund
372 Radin Capital Partners Inc. Radin Capital Partners	Canada	Pooled Fund
373 Raintree Wealth Management Inc. Raintree Tactical Fund Class A Raintree Absolute Fund Class A	Canada	Unit Trust
374 Ravenstone Capital Management Inc. Ravenstone family of funds	Canada	Pooled Fund
375 Rayne Capital Management Inc. Rayne Capital Opportunities Trust Rayne Capital LP Rayne Capital Opportunities Fund LP	Canada	Pooled Fund
376 RBC Dominion Securities Inc.	Canada	Linked Notes
377 RBC Global Asset Management Inc.	Canada	Mutual Fund/Hedge Fund/Pooled Fund
378 RBC Life Insurance Company	Canada	Segregated Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
379 Research Capital Corporation The Absolute Resource Company L.P. Grafton Energy Growth Fund	Canada	Mutual Fund
380 Resolute Funds Ltd.	Canada	Mutual Fund
381 Resolve Asset Management Inc.	Canada	Hedge Fund
382 Return on Innovation Advisors Ltd. Pooled Funds	Canada	Mutual Fund / Labor Sponsored Fund
383 RFA Bank of Canada	Canada	GIC's
384 Ridgewood Capital Asset Management Ridgewood Mutual	Canada	Mutual Fund
385 Roadmap Capital Inc.	Canada	Pooled Fund
386 Robson Capital Management Inc.	Canada	Hedge Fund
387 Rosalind Advisors, Inc. Rosalind Capital Partners L.P.	Canada	Limited Partnership
388 Ross Smith Asset Management ULC Ross Smith Capital Investment Fund Ross Smith Opportunity Fund Ross Smith Cryptocurrency Investment Fund LP	Canada	Mutual Fund / Limited Partnership
389 Royal & Sun Alliance Life Insurance Co. (Canada)	Canada	Segregated Fund
390 Royal Bank of Canada Royal Bank of Canada Connor Clark Private Royal Trust Corp. Royal Trust Company (The) Royal Bank Mortgage Corp. Merchant Private Trust	Canada	GIC's / Investment Savings Accounts
391 Royal Trust Company (The) First Trust Canada Funds The Protected American Fund The First American Fund T.A.L. Investment Pooled Funds Classified Investment Trusts RT Capital Inc. T.A.L. Private Management Pooled Funds	Canada	Mutual Fund
392 RP Investment Advisors LP	Canada	Mutual Fund / Hedge Fund
393 Russell Investments Canada Limited Russel Group mutual funds Life Points mutual funds Soverign mutual funds	Canada	Mutual Fund
394 Saguenay Strathmore Capital, L.P. Titan Masters Canada, L.P.	Canada	Limited Partnership
395 Sanford C. Bernstein & Co. LLC	U.S.	Mutual Fund

Fund Manager Funds covered by agreement	Region	Type of Products
396 SBI Canada Bank	Canada	GIC's
397 Scotia Capital Inc. Pinnacle family of funds - May 7-07 Cassels Blaikie Canadian Fund (U.S.) The Bank of Nova Scotia Structured Deposit Notes - Apr 26-07 Scotia Capital family of funds	Canada	Mutual Fund
398 Scotia Securities Inc.	Canada	Mutual Fund
399 Seamark Asset Management Ltd.	Canada	Mutual Fund / Pooled Fund
400 SEI Investment Canada Company	Canada	Mutual Fund
401 Seymour Investment Management Ltd. . Family of funds managed by Seymour Investment Management Ltd.	Canada	Pooled Fund
402 Sherpa Asset Management Inc.	Canada	Mutual Fund
403 Shinhan Bank Canada	Canada	GIC's
404 SIA Wealth Management Inc. SIA Wealth Management Private Investment Pools	Canada	Pooled Fund
405 Silvercreek Management Inc. Silvercreek family of funds	Canada	Limited Partnership
406 Slate Securities L.P.	Canada	Pooled Fund
407 Spartan Fund Management Inc. Spartan Fund Management Inc. family of funds Thales Fund Management Inc. family of funds	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
408 Standard Life Assurance Company of Canada (The) Guaranteed Interest Rate Contracts Ideal Segregated Funds	Canada	GIC's / Segregated Fund
409 Standard Life Trust Company	Canada	Mutual Fund
410 Stanton Asset Management Inc.	Canada	Hedge Fund
411 Starlight Investments Capital LP Starlight group of funds	Canada	Mutual Fund
412 Steadyhand Investment Management Ltd. Steadyhand Small Cap Equity Fund Steadyhand Global Equity Fund Steadyhand Equity Fund Steadyhand Income Fund Steadyhand Savings Fund	Canada	Mutual Fund
413 Steepe & Co. Ltd. US Bridge Loan Fund Trust	Canada	Mutual Fund Trust
414 Steinbach Credit Union Limited GICs	Canada	GIC's

Fund Manager Funds covered by agreement	Region	Type of Products
415 Stone Asset Management Limited	Canada	Mutual Fund
416 StonePine Asset Management Inc.	Canada	Pooled Fund
417 Successful Investor Wealth Management Inc.	Canada	Pooled Fund
418 Sun Life Assurance Co. (Canada) Individual Variable Insurance Contracts	Canada	Segregated Fund
419 Sun Life Financial Trust Inc.	Canada	GIC's
420 Sun Life Global Investments (Canada) Inc./Placements mondial	Canada	Investment Funds
421 Sunshine Coast Credit Union	Canada	GIC's
422 T.I.P. Wealth Manager Inc.	Canada	Mutual Fund / Pooled Fund
423 Tacita Capital Inc. Private Pool	Canada	Pooled Fund
424 Tactex Asset Management x x x	Canada	Investment Funds
425 Tangerine Bank	Canada	GIC's / Investment Savings Accounts
426 Taylor Asset Management Inc. Taylor Partners Fund	Canada	Pooled Fund
427 TD Asset Management Inc.	Canada	Mutual Fund / Inv. Savings Account
428 TD Bank Financial Group TD Pacific Mortgage Corp. - GIC's TD Mortgage Corp. - GIC's The Canada Trust Compay - GIC's TD Bank - GIC's & Linked Notes	Canada	GIC's / Linked Notes
429 TD Securities Inc.	Canada	Linked Notes
430 Tempered Investment Management Ltd. Tempered Global Value Fund	Canada	Pooled Fund
431 Terra Fund Management Ltd.	Canada	Mutual Fund / Limited Partnership
432 The Bank of Nova Scotia Trust Company ADS Canadian Bank	Canada	GIC's / Investment Savings Accounts
433 Third Eye Capital Management Inc. Third Eye Capital Alternative Credit Trust	Canada	Pooled Fund
434 Thornmark Asset Management Inc. Thornmark Investment Funds	Canada	Pooled Fund
435 Timelo Investment Management Inc. Timelo Strategic Opportunities Fund	Canada	Limited Partnership
436 TIS Preservation & Growth Fund/Accilent Capital Management.	Canada	Mutual Fund

Fund Manager	Region	Type of Products
Funds covered by agreement		
TIS Preservation & Growth Fund Class A and Class F Units		
437 Tonus Capital Inc. Tonus Partners Fund	Canada	Mutual Fund
438 Toronto Trust Management Ltd. Friedberg Mercantile Group family of funds	Canada	Hedge Fund
439 Tradex Management Inc.	Canada	Mutual Fund
440 Tralucet Asset Management Inc. Tralucet Global Equity Fund	Canada	Pooled Fund
441 Trapeze Asset Management Inc.	Canada	Pooled Fund
442 Traynor Ridge Capital Inc. TR1 Fund Ip	Canada	Limited Partnership
443 Trez Capital Corporation	Canada	Income Trust
444 Triasima Portfolio Management Inc.	Canada	Pooled Fund
445 TriDelta Investment Counsel Inc.	Canada	Pooled Fund
446 Triumph Asset Management Inc. Triumph Capital Appreciation Fund LP	Canada	Limited Partnership
447 True Exposure Investments, Inc. TruX Exogenous Risk Pool, Series F, P and O units	Canada	Mutual Fund
448 Turtle Creek Asset Management Inc.	Canada	Pooled Fund
449 UBS Asset Management (Canada) Inc./UBS (Canada) Global Mer UBS (Canada) Global Merger Arbitrage Fund	Canada	Pooled Fund
450 UBS Asset Management (Canada) Inc./UBS (Canada) Global Rea UBS (Canada) Global Real Estate Fund	Canada	Pooled Fund
451 Ullman Wealth Management Inc. Ullman Wealth Management Fund Family	Canada	Mutual Fund
452 Value Partners Investment Inc.	Canada	Mutual Fund
453 Value-Contrarian Asset Management Inc.	Canada	Mutual Fund
454 Vancity Investment Management Ltd. VCIM Canadian Equity Fund VCIM Bond Fund VCIM Short Duration Bond Fund VCIM Global Equity Fund VCIM Income Fund	Canada	Pooled Fund
455 Vancouver City Savings Credit Union	Canada	GIC's
456 Vanguard Investment Canada Inc.	Canada	Mutual Fund / Pooled Fund
457 Vantage Asset Management Inc. Vantage Performance Fund Vantage Protected Performance Fund	Canada	Pooled Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
458 Venator Capital Management Ltd. Venator family of mutual funds	Canada	Hedge Fund
459 Veritas Asset Management Inc. Veritas Long Short Fund VAM Signature Fund VAM V List Plus Fund	Canada	Pooled Fund
460 VersaBank	Canada	GIC's / Other
461 Vertex One Asset Management Inc.	Canada	Mutual Fund
462 Vesta Wealth Partners Ltd. Cerulean Private Equity Access Fund Vesta Enhanced Equity Fund	Canada	Mutual Fund
463 Viewpoint Investment Partners Corporation Viewpoint Global Fund Trust	Canada	Pooled Fund
464 Vision Capital Corporation	Canada	Hedge Fund
465 VL Advisors Inc.	Canada	Mutual Fund / Labor Sponsored Fund
466 W.A. Robinson Asset Management Ltd.	Canada	Pooled Fund
467 WARATAH Capital Advisors Ltd. Waratah fund family	Canada	Mutual Fund / Limited Partnership
468 WaveFront Global Asset Management Corp. WaveFront All-Weather Fund, LP	Canada	Pooled Fund / Limited Partnership
469 Waypoint Investment Partners Inc.	Canada	Pooled Fund
470 Wealhouse Capital Limited Partnership All funds managed by Wealhouse Capital Limited Partnership.	Canada	Mutual Fund / Pooled Fund
471 Wealth One Bank of Canada	Canada	GIC's / Investment Savings Accounts
472 WealthCo Asset Management Inc. WealthCo Pooled Funds WealthCo Asset Management Inc.	Canada	Pooled Fund
473 Wellington-Altus Private Counsel Ltd.		
474 Wells Asset Management System Inc.	Canada	Mutual Fund
475 West Face Capital Inc. WFC Opportunities Trust	Canada	Mutual Fund
476 Westcap Mgt. Ltd. Golden Opportunities Fund Inc.	Canada	Mutual Fund
477 Westminster Savings Credit Union	Canada	Mutual Fund / Segregated Fund / GIC's
478 Whitehaven Asset Management Inc.	Canada	Mutual Fund / Pooled Fund
479 Williams Lake & District Credit Union	Canada	GIC's

Fund Manager	Region	Type of Products
Funds covered by agreement		
480 Windsor Family Credit Union	Canada	GIC's
481 XIB Asset Management Inc. XIB Fund	Canada	Unit Trust
482 Yorkville Asset Management Inc. Yorkville Mutual Funds Yorkville Health Care Fund	Canada	Mutual Fund
483 YTM Capital Asset Management Ltd.	Canada	Mutual Fund
484 Zag Bank	Canada	GIC's / Investment Savings Accounts

Note:

One of the ways for book entry investment products (including investment funds, guaranteed investment certificates and other evidence of deposits) issued by a company or its agent to be considered an acceptable securities location, is for the company or its Agent to sign a custody agreement with the IIROC outlining the terms upon which such securities are deposited and including provisions that no use or disposition of the securities shall be made without the prior written consent of the Member and the securities can be delivered to the Member promptly on demand. IIROC has executed these agreements on behalf of SRO members in order to reduce the need for the company or its Agent to enter into individual agreements with each Member it deals with.

Another way for the company or its Agent to be considered an acceptable securities location is for the Member to have a custody agreement, which conforms to SRO by-laws directly with the company or Agent

Unless otherwise indicated, a mutual fund company / fund manager on this listing has signed an agreement only for its family of funds. Where the mutual fund company / fund manager administers a third party mutual fund it must sign a separate agreement. Where this is the case, the listing will indicate the third party mutual fund(s) covered by the agreement.

* The agreement remains valid for regulatory purposes during the period in which the custodian is in receivership

Bare Trustee Agreements

Additions to List Since January 1, 2023

Fund Manager	Region	Type of Products	Date Approved
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Funds covered by agreement			
Higgins Investment Group Inc. Restricted to Uber Strategis LP and Goforth Technical Fund	Canada	Limited Partnership	1/12/2023



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a consolidation of IIROC and the MFDA

Bare Trustee Agreements

Deletions from List since January 1, 2023

Fund Manager	Region	Type of Products	Date Removed	Reason of Removal
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Funds covered by agreement



Now New Self-Regulatory Organization of Canada,
a consolidation of IIROC and the MFDA

Bare Trustee Agreements

Name Changes and Mergers since January 1, 2023

Fund Manager	Region	Type of Product	Date	Comments
Funds covered by agreement				
CanCity Capital Inc.	Canada	Limited Partnership	1/12/2023	Name changed to Higgins Investment Group Inc.